

# The Evolution of Religion: How Cognitive By-Products, Adaptive Learning Heuristics, Ritual Displays, and Group Competition Generate Deep Commitments to Prosocial Religio

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# The Evolution of Religion: How Cognitive By-Products, Adaptive Learning Heuristics, Ritual Displays, and Group Competition Generate Deep Commitments to Prosocial Religions

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## Abstract

Understanding religion requires explaining why supernatural beliefs, devotions, and rituals are both universal and variable across cultures, and why religion is so often associated with both large-scale cooperation and enduring group conflict. Emerging lines of research suggest that these oppositions result from the convergence of three processes. First, the interaction of certain reliably developing cognitive processes, such as our ability to infer the presence of intentional agents, favors—as an evolutionary by-product—the spread of certain kinds of counterintuitive concepts. Second, participation in rituals and devotions involving costly displays exploits various aspects of our evolved psychology to deepen people’s commitment to both supernatural agents and religious communities. Third, competition among societies and organizations with different faith-based beliefs and practices has increasingly connected religion with both within-group prosociality and between-group enmity. This connection has strengthened dramatically in recent millennia, as part of the evolution of complex societies, and is important to understanding cooperation and conflict in today’s world.

## Keywords

by-product hypothesis, credibility enhancing displays, cultural transmission, cooperation, group competition, high gods, minimally counterintuitive, morality, religion, rise of civilization

45 [An] advancement in the standard of morality and an increase in the number of well-endowed men . . . who, from possessing in a high degree the spirit of patriotism, fidelity, obedience, courage, and sympathy, were always ready to give aid to each other and to sacrifice themselves for the common good, would be victorious over other tribes.

— Charles Darwin, *The Descent of Man*

50 This synthesis integrates insights from studies of the cognitive foundations of religion with evolutionary approaches to human cooperation to derive a deeper understanding of the origin and development of prosocial religions. We argue that the cultural evolution of prosocial religions and the historical rise of large-scale civilizations involve the dynamic interaction of the by-products of adaptive cognitive mechanisms (e.g., minimally counterintuitive beliefs and overextended agent concepts), adaptive learning heuristics (e.g., emulation of successful and prestigious individuals), credibility-enhancing ritual displays (e.g., self-sacrifice and costly commitments to seemingly preposterous beliefs), and cultural group selection for those packages of rituals, devotions, and beliefs that best sustain in-group prosocial norms (e.g., monumental undertakings, sacred values).

65 Many religions pose an evolutionary enigma because they require costly commitments to beliefs that violate both core aspects of logical consistency and our intuitive expectations about how the world works, both of which are otherwise crucial for successfully navigating the world (Atran and Norenzayan 2004). Religious practices are often costly in terms of material sacrifice (ranging from human sacrifice to prayer time), emotional expenditure (inciting fears and hopes), and cognitive effort (maintaining conflicting models about the nature of the world). One anthropological review of religious offerings concludes: “Sacrifice is giving something up at a cost. . . . ‘Afford it or not,’ the attitude seems to be” (Firth 1963).

75 At the same time, the origin of large-scale cooperative human societies is also an evolutionary puzzle because people frequently cooperate and trade with non-relatives in ephemeral interactions (Fehr and Fischbacher 2003). Thus, while the evolutionary mechanisms associated with kinship, reciprocity, and reputation clearly influence cooperation in important ways, they do not capture the fullest extent of human prosociality. Kinship cannot explain cooperation among non-relatives (Henrich and Henrich 2007), though “fictive kinship”—a cultural manipulation of kin psychology—may contribute to mobilizing larger groups (Johnson 1987; Atran 2003). Reciprocity does not suffice to explain cooperation beyond dense social networks, small villages, or tightly knit neighborhoods (Hruschka and Henrich 2006; Allen-Arave et al. 2008; Atran 2010). Neither direct nor indirect reciprocity can explain cooperation in transient interactions in large populations, because reputational information rapidly degrades as a function of pop-

ulation size, or in large-group interactions such as those associated with many kinds of public goods or common dilemmas (Boyd and Richerson 1988; Panchanathan and Boyd 2003; Nowak and Sigmund 2005; Mathew and Boyd 2009). Even more telling is that none of these mechanisms explains the variation in cooperation among human societies, or the massive expansion of cooperation in some societies over last 10 millennia (Henrich et al. 2005).

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Converging lines of field and experimental evidence suggest that cultural evolution, building on certain innate cognitive foundations, has favored the emergence of beliefs in powerful moralizing deities concerned with the prosocial behavior of individuals beyond kin- and reciprocity-based networks (Norenzayan and Shariff 2008). Cross-cultural analysis of 186 societies has found that larger and more complex societies were much more likely to subscribe to potent deities directly concerned with morality and willing to punish norm violators (Roes and Raymond 2003; Johnson 2005). Studies conducted across a diverse range of societies including foragers, farmers, and herders, show that professing a world religion predicts greater fairness toward ephemeral interactants (Henrich et al. 2010). Experiments with North Americans show that unconsciously activating religious concepts lead to reduced cheating and greater generosity toward strangers (Bargh and Chartrand 1999; Mazar and Ariely 2006; Shariff and Norenzayan 2007), except among ardent atheists. Together, these cross-cultural, historical, and experimental findings suggest that (1) religion—as a phenomenon with potentially deep roots (Klein 1989)—has not always been about high moralizing gods and (2) modern world religions may have evolved to create a potent linkage between the supernatural and the prosocial. Thus, we hypothesize that cultural evolutionary processes, driven by competition among groups, have exploited aspects of our evolved psychology, including certain cognitive by-products, to gradually assemble packages of supernatural beliefs, devotions, and rituals that were increasingly effective at instilling deep commitment, galvanizing internal solidarity, and sustaining larger-scale cooperation.

### Ordinary Cognition Produces Extraordinary Agents

Humans are purpose-seeking, cause-inferring, story-telling animals (Gazzaniga et al. 2009). As Hume noted in *The Natural History of Religion*, the greater the impact of events on our lives, the greater is our drive to impose purpose and coherence on those events. This view is backed by a recent experiment in which people were asked what patterns they could see in arrangements of dots or stock market figures (Whitson and Galinsky 2008). Before asking, the experimenters made half the participants feel a lack of control. Those who experienced a lack of control were more likely to see patterns and processes underlying the randomness, suggesting that under uncertainty

145 we are more likely to find preternatural explanations for the  
randomness. Both cross-cultural experiments and surveys indicate  
that people more readily ascribe to the veracity of narratives  
containing counterintuitive elements (e.g., miracles) when  
primed with death (Norenzayan and Hansen 2006), or when  
facing danger or insecurity, as with pleas of hope for  
150 God's intervention during wartime (Argyle and Beit-Hallahmi  
2000). Such findings help explain both cross-national analyses  
showing that a country's religiosity (devotion to a world religion)  
is positively related to its degree of existential insecurity  
(Norris and Inglehart 2004), and why certain kinds of religions  
155 enjoy revivals in challenging times. The issue then becomes:  
how and why does purpose-seeking and cause-inferring so often  
deliver supernatural agents?

Religious traditions center on supernatural agents, such as  
gods, angels, or ancestor spirits. This includes religions such  
160 as Buddhism and Taoism, which doctrinally eschew personifying  
the supernatural, but whose adherents routinely worship an  
array of deities that behave in ways that violate our intuitive  
expectations about how the world works (Pysiäinen 2003).  
Mundane agent concepts are central players in what psychologists  
165 refer to as folkpsychology, associated with a Theory of Mind  
module(s) (ToM), which is a cognitive system devoted to making  
inferences about the beliefs, desires, and intentions of other  
minds (Baron-Cohen 1995). Recent functional magnetic resonance  
imaging (fMRI) studies confirm that people's statements about  
170 God's involvement in social events, as well as the deity's  
purported emotional states, reliably engage ToM-related regions  
of the brain (Kapogiannis et al. 2009).

Agent concepts may be hair-trigger in our cognitive processing,  
175 allowing us to readily respond under uncertainty to potential  
threats by intelligent predators (Guthrie 1993). From this  
evolutionary vantage, agent's proper evolutionary domain  
encompasses animate species, but its actual domain inadvertently  
extends to moving dots on computer screens, voices in the  
180 wind, faces in clouds, complicated contrivances like eyes,  
and virtually any complex design or uncertain circumstance  
of unknown origin (Sperber 1996). Children and adults  
spontaneously interpret the contingent movements of dots and  
geometrical forms on a screen as interacting agents with  
distinct goals and internal goal-directed motivations (Heider and  
185 Simmel 1944; Bloom and Veres 1999; Csibra et al. 1999).  
Young children spontaneously overattribute agency to all sorts  
of entities (clouds, computers), and may thus be predisposed  
to construct agent-based representations of many phenomena  
(Keleman 2004). Such reliably developing programs provide  
190 efficient reactions to a wide—but not unlimited—range of  
stimuli that would have been statistically associated with the  
presence of dangerous agents in ancestral environments. Mistakes,  
or “false positives,” would usually carry little cost, whereas  
a true response could provide the margin of survival.  
195 This reactive bias was likely adaptive, at least until supernat-

ural agents were harnessed by cultural evolution to begin  
demanding costly actions and cooperation, under threat of divine  
punishment or offers of sublime rewards.

How do our minds make agent concepts into gods? Cognitive  
200 approaches propose that supernatural concepts exploit ordinary  
mental processes to construct counterintuitive concepts (Boyer  
2001; Atran 2002; Barrett 2004). Religious beliefs are  
counterintuitive because they violate universal expectations  
about the world's mundane structure. This includes the basic  
205 categories of our “intuitive ontology” (i.e., the ontology of  
our semantic system), such as person, animal, plant, and  
substance (Whyte 1993; Sperber et al. 1995). Experimental  
studies reveal that children across cultures do not violate such  
categorical constraints in learning word meaning; for example,  
210 people cannot literally melt, and neither can animals joke,  
trees walk, nor rocks tire (Keil 1979). Experiments with  
Americans and Indians illustrate a gap between religious  
utterances and the mental processing of religious concepts  
(Barrett and Keil 1996; Barrett 1998). When asked to describe  
215 their deities, subjects produced abstract theological descriptions  
of gods that are able to (1) do anything, including anticipating  
and reacting to everything all at once, (2) know the right  
thing to do, and (3) dispense entirely with perceptual  
information and calculation. However, when asked to respond  
220 to narratives about these same gods, people interpreted their  
deities as being in only one place at a time, puzzling over  
alternative actions, and looking for evidence to make a  
decision. In short, people mentally represent gods using  
our intuitive ontology, so abstract theological propositions  
225 give little insight into how people actually think about  
supernatural agents (Malley 2004). Much recent work  
suggests this intuitive ontology results from, or interacts  
with, certain universal modes of causal construal, including  
folkmechanics (object cohesion, contact, and continuity in  
230 movement), folkbiology (teleological development of  
species-like essences and relations), and folkpsychology  
(intentional, goal-directed, interactive agents).

Most religious beliefs minimally violate the expectations  
235 created by our intuitive ontology and these modes of  
construal, thus creating cognitively manageable and memorable  
supernatural worlds. For example, agents that resemble us  
emotionally, intellectually, and physically except that they can  
move through solid objects and live forever (angels, ghosts,  
240 and spirits) fit the bill. Table 1 provides examples of minimal  
violations.

Cognitive approaches hypothesize that although intuitive  
240 concepts transmit well, concepts that minimally deviate from  
intuition transmit better, while those that deviate greatly  
cannot transmit successfully because they overload cognitive  
processes that drive inferential reasoning and relevance  
(Atran and Sperber 1991). Invisible statues that cry exist in  
245 two places at once and get hungry only on leap years are not  
easy to entertain. Minimally counterintuitive concepts are  
remembered and

**Table 1.** Mundane relations between universal categories and modes of reasoning. Changing any one cell (+ to – or – to +) yields a minimal counterintuition. Thus, switching the cell (– folkpsychology, substance) to (+ folkpsychology, substance) yields a thinking talisman; switching (+ folkpsychology, person) to (– folkpsychology, person) yields a zombie (Barrett 2000; Atran and Norenzayan 2004).

Semantic Categories	Belief Domains (and Associated Properties)					
	Folkmechanics		Folkbiology		Folkpsychology	
	Inert	Vegetative	Animate	Psycho-Physical, e.g., Hunger, Fatigue, etc.	Epistemic, e.g., Belief, Desire, etc.	
Person	+	+	+	+	+	
Animal	+	+	+	+	–	
Plant	+	+	–	–	–	
Substance	+	–	–	–	–	

retransmitted more readily than either intuitive or highly counterintuitive concepts. Recall experiments indicate that minimally counterintuitive concepts and beliefs enjoy a cognitive advantage in memory and transmission over intuitive concepts and mundane beliefs (Barrett and Nyhof 2001). Results have been observed immediately, as well as after a three-month delay, in samples from the United States, France, Gabon, Nepal (Boyer and Ramble 2001), as well as from Maya (Atran and Norenzayan 2004). Whether counterintuitive concepts are believed in, or committed to, more is another matter (think Jesus vs. Zeus), which is addressed below (also see Gervais and Henrich forthcoming).

The advantages in mnemonic and transmittability for minimally counterintuitive representations beg the question of why such representations don't occupy most of scriptures, folktales, and myths. The Bible or the Koran, for example, involve successions of mundane events—walking, eating, sleeping, marrying, fighting, and suffering—interspersed with a few counterintuitive occurrences, often involving miracles or the appearance of supernatural agents. One explanation is that counterintuitive ideas are transmitted as elements in narrative structures. Studies have explored this by examining (1) the cognitive structure of folktales, and (2) the relative cultural success of each tale (Norenzayan et al. 2006). Minimally counterintuitive folktales (containing two to three supernatural events or objects) were substantially more widespread than folktales containing fewer counterintuitive elements (less than two) or those with too many counterintuitive elements (more than three).

In brief, counterintuitive concepts and beliefs, as long as they come in small doses, help people remember and presumably retransmit the intuitive statements, as well as the underlying knowledge that can be inferred from them. A small proportion of minimally counterintuitive elements give a story a mnemonic advantage over stories with no or too many counterintuitive elements. This dual aspect of supernatural belief sets—commonsensical and counterintuitive—

renders them intuitively compelling yet fantastic, eminently recognizable but surprising. Cross-cultural experiments indicate that such beliefs grab attention, activate intuition, mobilize inference, and can accommodate seemingly contrary events and interpretations, in ways that facilitate their mnemonic retention, cultural transmission, and historical survival.

### Natural Origins of Faith

The above helps explain the success of, for example, folktales and scriptures. However, this approach misses the difference between Moses' miracles and Mickey Mouse's antics (Atran 1998). Or, why the faithful of one religion do not adopt beliefs in the gods of other religions once they learn about them (Gervais and Henrich forthcoming). So, the question is why do people become deeply committed to particular counterintuitive agents or stories—so committed that they would die for their beliefs?

We are a cultural species. Unlike other animals, humans have evolved to rely heavily on acquiring behavior, beliefs, motivations, and strategies from others in their group. These psychological processes, shaped by natural selection, focus our attention on both those domains and individuals that likely to possess fitness-enhancing information (Henrich and Gil-White 2001; Richerson and Boyd 2005). Human social learning generates vast bodies of know-how and complex practices that accumulate and improve over generations. Studies of small-scale societies show that survival and reproduction are dependent on cumulative bodies of information related to hunting (animal behavior), edible plants (seasonality, toxicity, etc.), medical knowledge, technical manufacture, and so on (Liebenberg 1990; Henrich and McElreath 2003; Henrich 2008).

Because of the dependence that human ancestors increasingly had to place on such complex, often nonintuitive, products of cumulative cultural evolution, natural selection may have favored a willingness to rely on culturally acquired

320 information—filtered through our adaptive biases—over our  
direct experience or basic intuitions. To see this, consider that  
many foragers process plant foods to remove toxins without  
325 without conscious knowledge of what happens without processing  
(Beck 1992). Such foods often contain low dosages of toxins  
that cause little harm for months or even years, and don't badly  
330 damage the food's flavor. However, such toxins will accumu-  
late and eventually cause severe health problems and death. A  
naïve learner who favors his own experience of eating the foods  
without the arduous processing will do less work in the short  
run, but possibly die in the long run. Place faith in traditional  
practices, without understanding why, can be adaptive. Simi-  
larly, manufacturing complex technologies or medicines often  
involve a sequence of important steps, most of which cannot be  
skipped without producing an inferior outcome. Experimenta-  
335 tion is of limited use in rearranging or dropping steps because  
even a relatively small number of steps yield a combinatorial  
explosion of possible alternative procedures. Learners must  
have faith, and copy all steps. This suggests that a willingness  
to sometimes rely on faith—to believe in cultural traditions  
340 over experience or intuitions—is likely a product of evolving  
in a world with complex cultural adaptations.

Supporting evidence comes from developmental psychol-  
ogy, which documents a potent tendency for “over-imitation”  
in children, and recently demonstrated how deeply over-  
345 imitation influences our acquisition and encoding of concepts  
(Lyons et al. 2007). This comes across most starkly in stud-  
ies comparing children and chimpanzees. When both species  
observe demonstrations of a task involving multiple steps, chil-  
dren accurately copy all steps, including steps that direct visual  
350 inspection and are unnecessary. Chimpanzees do some copy-  
ing, but skip unnecessary steps, leading them to more efficient  
repertoires than children (Horner and Whiten 2005). Children  
implicitly assume that if the model performed a seemingly  
unnecessary action, it was probably important, even if they  
355 cannot understand precisely why.

With the evolution of language, this faith in cultur-  
ally transmitted information became vulnerable to exploita-  
tion by individuals—particularly successful and prestigious  
360 individuals—able to transmit practices or beliefs they them-  
selves might not hold. Language makes exaggeration, dis-  
tortion, manipulation, and deception easy and cheap. Before  
language, learners observed and inferred people's underlying  
beliefs or desires by their behavior. Those wishing to deceive  
would have to actually perform an action to transmit it. To  
365 avoid being manipulated by models proficient at altering or  
exaggerating commitments to certain beliefs, evolutionary ap-  
proaches suggest that humans may have evolved cognitive  
abilities that examine the fit between a model's words (ex-  
pressed beliefs) and actions. In figuring out who to learn from,  
370 learners consider both a model's cues of success, skill, and  
prestige (among other cues) and whether a models' expressed

beliefs are supported by diagnostic actions that permit an as-  
sessment of the model's underlying degree of commitment  
to their expressed beliefs. For example, if a potential model  
375 rails against prostitution, but then uses prostitutes for his own  
clandestine recreation, a learner should de-weight this model's  
influence in cultural transmission with regard to prosecuting  
prostitution. This means that if a model's belief causes him to  
perform “costly displays”—that is, actions that would be too  
380 costly for someone with different beliefs to perform—learners  
should be more willing to learn from this model. If a model is  
successful or prestigious in the eyes of learners, and performs  
costly displays cueing deep commitment to his expressed be-  
liefs, then learners should more readily adopt and believe in (be  
committed to) the models' expressed beliefs (Henrich 2009). 385

Experimental findings support this. Thus, young children  
are generally unwilling to sample a novel food offered by a  
stranger as “something to eat” without first seeing the stranger  
eat it (Harper and Sanders 1975). Developmental studies of the  
transmission of altruistic giving show that neither preaching  
390 nor exhortation to charity is effective without opportunities to  
observe costly giving by models (Henrich and Henrich 2007).  
Studies of children's beliefs about the existence of entities like  
intangible germs, angels, and mermaids show that children  
only subscribe to those agents whom adults seem to endorse  
395 through their daily actions, and remain skeptical of unendorsed  
supernatural agents (Harris et al. 2006). Similarly, interviews  
with a diverse sample of parents from highly religious Chris-  
tian, Jewish, Mormon, and Muslim families reveal that parents  
see religion holding their children on a virtuous life course pri-  
400 marily because of their costly investments in “practicing (and  
parenting) what you preach” (Marks 2004).

This suggests an approach to devotions (fasting, celibacy,  
etc.) and rituals as having evolved culturally (at least in part)  
to deepen people's commitments to counterintuitive beliefs.  
405 Counterintuitive beliefs have a mnemonic advantage, but not  
a belief advantage. Both direct experience and our own intu-  
itions often contradict counterintuitive beliefs, and reality does  
not readily provide decisive evidence in their favor. (There  
are many potentially counterintuitive beliefs that can be em-  
410 pirically grounded through arduous scientific effort—think  
quantum teleportation, evolution, etc.—but common sense and  
experience doesn't favor even these beliefs.) This puts coun-  
terintuitives at a disadvantage relative to mundane or intuitive  
beliefs. Rituals and devotions can help overcome this disad-  
415 vantage through acts of costly commitment (Henrich 2009).

In this view, costly ritual or devotional acts may have  
evolved as a means to convince learners of the personal com-  
mitment of either the rest of the congregation (exploiting con-  
formist biases in our learning) or of locally prestigious models  
420 (Henrich 2009). Rituals and devotions exploit our reliance  
on diagnostic actions to deepen commitment to counterin-  
tuitive beliefs. They also link performance of costly acts to

social success, thereby perpetuating the transmission of belief–  
425 commitment across generations. Formal cultural evolutionary  
models show that costly displays (e.g., ritual sacrifice) can in-  
terlock with and sustain counterintuitive beliefs, which would  
not be otherwise sustained by cultural evolution. By contrast,  
430 fairy tales are counterintuitive, easily remembered, and may  
help to transmit moral messages by grabbing attention with  
themes having emotional impact; but nothing is socially im-  
perative or sacred about them or their message. No one in the  
learner’s community demonstrates via costly acts their deep  
commitment to the truth of such stories, actors, or ideas.

435 Because of our adaptive need, at times, to rely wholly  
on cultural information in the face of inconsistent experience  
or contradictory implications, natural selection likely favored  
something of a psychological immune system that cements  
adherence to adopted beliefs. Experiments suggest that once  
440 people sincerely commit to religious beliefs, attempts to un-  
dermine them through reason and evidence can stimulate the  
strengthening of personal commitments (Festinger et al. 1956).  
Since many religious beliefs are logically inscrutable and im-  
mune to empirical falsification, a failed prophecy (direct evi-  
445 dence) may mean that more introspection and commitment is  
needed.

These lines of reasoning and evidence suggest that com-  
mitment to supernatural agents tends to spread in a population  
to the extent it elicits costly displays, usually in the form of  
450 ritual ceremonies, offerings, devotions, and sacrifices. When  
community leaders and congregations demonstrate commit-  
ment to supernatural beliefs in costly rites, observers who  
witness these commitments are more inclined to trust and fol-  
low participants. Such trust and following often extend to wider  
455 sets of mundane beliefs and associated actions because (1) peo-  
ple tend to follow, and give the benefit of doubt to, models with  
proven success and commitment in one valued domain as they  
move into other domains (hence, advertisers get famous peo-  
ple to sell their wares) (Henrich and Gil-White 2001); and (2)  
460 many counterintuitive beliefs violate our intuitive ontology,  
and are thus literally preposterous (like many poetic tropes);  
they can only be meaningfully interpreted in terms exogenous  
to the beliefs themselves. Consequently, religious trust and  
following carry over to other beliefs and actions associated  
465 with ritualized actions, including cooperative works, charity,  
commerce, moral norms, and warfare.

Supernatural agents that incentivize costly sacrifices will  
tend to spread, creating an emerging linkage between degree  
of commitment to belief and costly displays. For example,  
470 alongside prohibitions against various social ills (e.g., murder,  
adultery, and theft), God commanded the Israelites to keep holy  
the Sabbath or suffer death. Demands for rituals, devotions,  
and sacrifices guarantee intergenerational transmission of deep  
commitments (Alcorta and Sosis 2005), as children infer deep  
475 commitment from costly actions of adults (Henrich 2009).

Because the deeply committed actually believe in the agent’s  
incentives, sacrifices and rituals needn’t seem (subjectively)  
costly.

Religions have culturally evolved to deploy a variety of  
other means to ratchet up faith and commitment. Faith in 480  
otherwise inscrutable content is deepened and validated by  
communion: collectively engaging emotions and motivations  
using music, rhythm, and synchrony. Of people reporting a  
religious experience, music is the single most important elic-  
itor of the experience, followed by prayer and group services 485  
(Greeley 1975). Listeners as young as three years old reli-  
ably associate basic emotions—anger, sadness, fear, joy—with  
musical structures (Trainor and Trehub 1992). Recent study  
finds that strangers acting in synchrony—marching, singing,  
and dancing—cooperate more in subsequent group exercises, 490  
even in situations requiring personal sacrifice. Synchronous ac-  
tion (rhythmically moving together) increases cooperation by  
strengthening social bonds among group members, even when  
no positive emotion is attached to the movement (Wilmeruth  
and Heath 2009). The ability of music, rhythm, and synchrony 495  
to instill commitment and trust is also apparent why military  
drills and routines developed over the centuries to train soldiers  
and build armies (McNeil 1982).

This indicates that groups and institutions that survive and  
spread will possess both costly displays (devotions and rituals) 500  
of commitment and values that glorify such sacrifices for group  
beliefs. The Navajo, for example, are among the most success-  
ful cooperators and survivors of Native American groups, with  
men spending upwards of one-third, and women one-fifth,  
of their productive time on “priestly rites” (Kluckhohn and 505  
Leighton 1946). Historical studies suggest that early Chris-  
tianity spread to become the majority religion in the Roman  
Empire through costly displays such as martyrdom and char-  
ity (e.g., risking death by caring for sick non-Christians during  
epidemics; Stark 1997). Strengthening the group through rit- 510  
ual participation and costly displays also applies to a variety  
of modern movements for civil and human rights that grow  
by “waging peace” in the battle for public opinion, including  
those modeled on the nonviolent doctrines and costly commit-  
ments (imprisonment, harassment, etc.) of Gandhi and M. L. 515  
King (Smith 1996). Martyring spiritual leaders often stimu-  
lates the spread of their ideas by providing persuasive displays  
of the leader’s deep commitment.

Below, we sketch a cultural evolutionary process that as-  
sembles these otherwise disparate elements into a general ac- 520  
count of the evolution of religions.

### **Coevolution of Counterintuitive Beliefs and Norms for Complex Societies**

Counterintuitive beliefs are readily recalled and retransmit-  
ted. Rituals and devotions involving costly displays, music, 525



rhythm, and synchrony can ratchet up the belief in, and commitment to, these counterintuitive beliefs. Now, the questions are (1) how do these elements of effective rituals and devotions get assembled and linked with particular supernatural agents?; 530 (2) why do these supernatural agents so favor prosocial behavior, by forbidding stealing, lying, murdering, adultery, and so forth?; and (3) why does this seem more prevalent in recent and increasingly large and complex societies? A rising tide of evidence suggests that religious beliefs, rituals, devotions, 535 and social norms have coevolved in interlocking cultural complexes in a process driven by competition among alternative complexes.

As a species we rely heavily on acquiring key aspects of our behavior by observing others. Humans readily acquire 540 social strategies, practices, beliefs, and preferences via cultural learning in ways consistent with evolutionary predictions. Children acquire altruistic behaviors or other costly norms via observation and inference, and will spontaneously apply imitated standards to others, sanctioning them if necessary 545 (Henrich and Henrich 2007; Rakoczy et al. 2008). Game theoretic analyses show that when cultural learning is combined with social interaction, a variety of different stable states (i.e., social norms or institutions) emerge. Unlike genetic transmission, this is even true in larger scale cooperative endeavors 550 (Henrich and Boyd 2001; Panchanathan and Boyd 2004), in which both cooperative and defecting states can remain stable. When the aforementioned cognitive mechanisms for weighting costly displays are included as part of cultural learning, belief-action combinations yield many different stable states, including those in which the actions are individually costly, 555 and potentially cooperative (Henrich 2009).

Existence of alternative stable sets of norms across human societies creates conditions in which competition among groups will favor the emergence of prosocial norms—that is, 560 norms that lead to success in competition with other groups. The most important norms are likely to be those that increase cooperation (e.g., in warfare and economic production) or reduce within-group conflict, by regulating sexual relationships or managing disputes. Because this process involves competition among stable states, modeling shows that it does not suffer the challenges typically associated with the genetic group selection of altruism (Boyd and Richerson 2002). 565

This process is capable of assembling those combinations of supernatural beliefs, rituals, and devotions that most 570 reinforce cooperative or other prosocial norms. Religious elements can operate in at least four interrelated ways. First, observation and participation in costly rituals are likely to induce deep commitment to associated norms, leading to greater intrinsic motivation to comply (Henrich 2009). Second, supernatural policing and incentives (heaven vs. hell) can buttress 575 more worldly norm-sustaining mechanisms, such as punishment, signaling, and reputation (Gintis et al. 2001; Henrich

and Boyd 2001; Panchanathan and Boyd 2004). By augmenting these mechanisms, supernatural beliefs have culturally selective advantages over purely secular mechanisms (Johnson 580 2005). At the margins, the additional psychological threat of supernatural incentives reduces the costs of punishing violators, provides a threat when no human eyes are watching, and may tilt the balance in situations when the benefits of defecting (charging a vast enemy) exceed the potential worldly costs. If 585 a transgressor has faith in divine awareness and retribution, then external policing, capture, and punishment “automatically” come from within. By reinforcing worldly mechanisms where they are weak (e.g., monitoring large populations), supernatural beliefs can help extend the scale and intensity of 590 cooperation. Third, when supernatural punishment is either indiscriminate or collective, third parties have a direct incentive to keep norm violators in line. If people believe that their god will punish everyone (say, by a drought) for the misdeeds of a few (e.g., adultery), then everyone has an incentive to keep 595 everyone else in line.

The fourth way religion can galvanize prosocial norms is by making gods the authors of sacred canons or values that authenticate society—in the minds of believers—as having 600 an existence above a mere aggregation of its individuals and institutions (Durkheim 1995; Wilson 2002). Beyond simply the authority of authorship, the ineffability of sacred “propositions” (e.g., “God is merciful to believers,” or “this land is holy”) effectively places them beyond logical or empirical scrutiny (Rappaport 1999). Recent work reveals that children’s 605 beliefs in God as the creator of everything favors essentializing of social categories, meaning that religious beliefs about divine creators predict the inferring that ethnic/religious category membership is stable (immutable: these effects seem limited to human categories, and do not influence judgments 610 about artifacts or animals). This suggests that competition among socioreligious groups will favor beliefs that galvanize and reify group membership by extending our intuitive system for essence-based inferences (used for thinking about biological kinds; Atran 1998) to the relevant human social categories 615 (Diesendruck and Haber 2009). By sparking our tendency to essentialize some categories (e.g., biological species), beliefs in supernatural creators may facilitate (psychologically) the unification of diverse tribes into a single, stable, immutable 620 people, and God’s people.

The same evolutionary process will favor distinct markers of group members, often in the form of taboos. These emerge as nonnegotiable prohibitions about beliefs and behaviors that systematically covary with sacred (less observable) beliefs and values (Durkheim 1995; Wilson 2002). Punishment for transgression of taboos provides concrete markers and proof of 625 the meaning and importance of what is sacred for a society. Together, sacred values and taboos bound moral behavior at the most basic level of conduct in society (sex, diet, dress,

630 and greetings) and at the most general level (warfare, rule,  
work, and trade). Together with religious rituals, devotions,  
and insignia, such practices can foster a cohesive group identity  
and increase solidarity vis-à-vis other groups. Here religion exploits  
635 and extends our “tribal psychology” that has long  
marked group boundaries through language, dialect, and dress  
(McElreath et al. 2003).

For example, the Hebrew Kingdom of Judah used circumcision,  
dietary laws, and a prohibition against work on the Sabbath  
(etc.) as displays of commitment to their God. This enabled the  
640 alliance of Hebrew tribes to set themselves apart from coastal  
peoples (e.g., Philistines, Canaanites) and forged a unification  
that withstood stronger invaders (e.g., Egyptians, Babylonians)  
(Sweeney 2001). Violating the Sabbath, along with idolatry,  
were considered the gravest violations and punishable by death  
645 (Phillips 1970). These were both costly and arbitrary markers  
of corporate identity relative to the concrete needs of social life  
shared with other groups (in contrast to prohibitions on stealing,  
adultery, murder, etc.). Disregard of these was considered a  
reliable signal of sin and failure of commitment. From this  
650 perspective, groups using such costly markers succeed because  
they (1) transmit commitment in the next generation, (2) eliminate,  
or identify, those lacking sufficient commitment to the group  
and its god(s) (Irons 1996; Sosis and Alcorta 2003), and (3)  
655 psychologically demarcate the group in ways that engage our  
tendency to essentialize and reify group boundaries.

Norms are often attached to powerful emotions (anger, guilt,  
shame) that can be amplified by certain religious beliefs into  
660 dread, awe, or anxiety. This leads to strong reactions against  
norm violators that range from bad-mouthing to banishment,  
and from manhandling to murder. Experiments show that when  
norms are associated with the sacred, they become emotionally  
charged and less influenced by material calculations and tradeoffs  
(Tetlock 2003). In conflict situations, as in the Middle East,  
665 recent research reveals that material offers from one group  
to another proposing that norms associated with sacred values  
be relaxed or abandoned generate moral outrage, and increase  
people’s readiness to support lethal violence. Such sacred values  
appear to be somewhat immune to the rationality of *realpolitik*  
670 or the marketplace, implying that a “business-like” approach  
to negotiations in conflicts involving sacred values may backfire  
(Atran et al. 2007; Ginges et al. 2007; Dehghani et al. 2009).  
From our perspective, increasing the material incentives to a  
believer in exchange for violating sacred values might result in  
675 substantial increasing of the signaling value obtained from  
rejecting the material payoffs. The target of the signal might be  
God, one’s fellows, or one’s self.

The line sketched here allows some predictions about the  
historical emergence of supernatural agents. Gods of increasingly  
680 complex societies should evolve to be more concerned with  
(1) in-group cooperation (help your co-religionists),

harmony (no stealing, lying, or adultery), and fair exchange,  
(2) sexual and family relations (increasing reproduction of new  
adherents), and (3) the performance of commitment-inducing  
685 rituals (Roes 1995; Roes and Raymond 2003; Johnson 2005).  
To better police and reward adherents, the gods of emerging  
complex societies need more knowledge of mortal behavior  
(evolution of omniscience) and more power to reward and  
punish (thus, an afterlife in heaven or hell). This allows gods  
690 to monitor people in ephemeral or anonymous situations, and  
to provide potent incentives, if they can instill deep commitment.  
Along these lines, beliefs in an eternal, blissful afterlife for  
the faithful emerged likely only after 500 B.C. in Eurasia,  
with the rise of cosmopolitan religions such as Hinduism,  
Mahayana Buddhism, and Christianity (McNeil 1991). 695

### The Religious Rise of Civilizations

Scholars have long suspected a link between certain religious  
forms and the emergence of complex societies. In the 14th  
century, historian Ibn Khaldūn examined different waves of  
695 invasion in the Maghreb and argued that enduring dynastic  
power stems from religious “group feeling,” with its ability to  
unite desires, inspire hearts, and support mutual cooperation  
(Khaldūn 2005). Historical work suggests that the beliefs, rituals,  
and norms (e.g., inheritance rules, ethnic equality, judicial  
700 procedures) of Islam spread initially by providing a means of  
unifying the warring Arabic tribes, giving them the ability to  
cooperate, conquer, and gradually assimilate surrounding  
peoples (Levy 1957). Contemporary studies indicate that Islam  
spread into Sub-Saharan Africa by drawing people into tighter  
705 religiously based networks of trust that facilitate trade and  
economic success (Ensminger 1997). As expected, this process  
is galvanized by costly devotions and rituals (fasting, frequent  
prayer, taboos on pork and alcohol) that demarcate believers  
from everyone else. Similar considerations apply to the ongoing  
710 spread of evangelical Protestantism in Asia, Africa, and  
Latin America (Freston 2001).

The archaeological record reveals a clear, coevolutionary  
connection between religion, ritual, and complex societies.  
Recent finds indicate that rituals became much more formal,  
715 elaborate, and costly as societies developed from foraging  
bands into chiefdoms and states (Marcus and Flannery 2004;  
cf Whitehouse 2004). In Mexico before 4000 B.P., for example,  
nomadic bands relied on informal, unscheduled, and inclusive  
rituals. The same goes for contemporary foragers, such as the  
720 San of Africa’s Kalahari desert, whose ad-hoc rituals (e.g.,  
trance dancing) include all community members, and are  
organized according to the contingencies of rainfall, hunting,  
and illnesses (Lee 1979).

Then, with the establishment of permanent villages and  
multi-village chiefdoms (4000–3000 B.P.), rituals are managed  
725 by social achievers (prestigious “Big Men” and chiefs)

and scheduled by solar and astral events. This also appears to be the case for pre-dynastic Egypt (6000–5000 B.P.) and China (4500–3500 B.P.), as well as for North American chiefdoms. 735 After the state was formed in Mexico (2500 B.P.), important rituals were performed by a class of full-time priests, subsidized by society, using religious calendars and occupying temples built at enormous costs in labor and lives. This is also true for the earliest state-level societies of Mesopotamia (after 740 5500 B.P.) and India (after 4500 B.P.), which, as in Mesoamerican, practiced fearsome human sacrifice (Campbell 1974). Combining this with comparative ethnography suggests that high moralizing gods likely coevolved with costly regularized rituals, creating a mutually reinforcing cultural nexus capable 745 of enhancing internal cooperation and harmony, while providing a justification to exploit out-groups.

Combining these observations with recent work in psychology illuminates a linkage between monumental architecture and religion. The earliest civilizations are known for their 750 impressive monuments, usually in the form of temples, pyramids (tombs), and ziggurats (altars) that may have served at least two important psychological purposes: (1) as costly displays of commitment from the society's leaders, or society in general, they help to instill deeper commitments to religious/group ideologies in learners; and (2) as "religious 755 primes," their visibility may stimulate prosocial behavior. As noted, experiments show that believers give more money to others and cheat less when primed with religious concepts; a giant temple in the market square may provide a salient cue 760 that evokes, if only at the margins, more prosocial behavior. Societies that better exploit these aspects of our psychology could outcompete others.

### Cultural Group Selection

Our species' heavy reliance on social learning spontaneously 765 gives rise to norms and informal institutions (stable equilibria), which vary in their group-level competitive properties. Ecological and social pressures, especially with the spread of agriculture, favor norms and institutions that strengthen and extend the social spheres of cooperation and trust while sustaining internal harmony. Deep commitments to certain kinds 770 of religious beliefs and practices can cement both adherence to prosocial norms and a willingness to sanction norm violators, thereby increasing group solidarity and competitiveness with other groups. Religious beliefs and practices, like group- 775 beneficial norms, can spread by competition among social groups in several ways, including warfare, economic production, and demographic expansion. Such cultural representations can also spread through more benign interactions, as when members of one group preferentially acquire behaviors, 780 beliefs, and values from more successful groups.

These processes of cultural group selection have both theoretical and empirical grounding. Theoretically, findings from

a growing literature of formal models of cultural evolution illuminate three important facts. First, nothing in the modeling of these processes requires "essentializing" culture, nor do these 785 models assume away variation within groups. These models permit within-group variation, and show that cultural group selection can operate even in the face of ample within-group variation (Boyd and Richerson 2002; Henrich 2004; Boyd et al. 2003). Second, no assumptions about discrete or high 790 fidelity replication are required in models of cultural evolution, and assuming that strong cognitive attractor exists do not obviate the importance of other selective processes (Henrich and Boyd 2002; Henrich et al. 2008). Third, important concerns 795 about older models involving the genetic group selection of altruism do not apply to these cultural evolutionary models. There are several reasons for this but three important ones revolve around: (1) the non-vertical nature of cultural inheritance (Henrich and Boyd 2001), (2) the speed of cultural adaptation (Boyd et al. n.d.), and (3) presence of multiple stable equilibria 800 (Henrich 2004).

Empirically, both detailed ethnographic studies and historical analyses support the importance of cultural group selection (see Henrich 2009 for additional cases). Ethnographically, to illustrate cultural group selection both via the 805 emulation of more prestigious groups and direct economic competition, consider the well-documented case of three adjoining populations: the Itza' Maya of Guatemala's Petén lowlands, Spanish-speaking Ladino immigrants from diverse regions, and Q'eqchi Maya who arrived in clusters of families 810 and neighbors from the highlands (Atran et al. 2002). Among the Itza' Maya, one important predictor of sustainability is their consensus on supernatural (as opposed to human) forest preferences. This cultural consensus about which species are most valuable and worthy of protection accords well with 815 the anthropogenic character of the forest in the Classic era of Maya civilization. The researchers' hypothesis is that spirit preferences represent a summary of experience accumulated over generations. Itza' Maya believe spirits to be "guardians" of the forest. Spirits help people who do not harm the survival 820 prospects of certain species (as spirits see those prospects). Hurting the forest can result in accidents, illness, and worse (punishment). This research team has witnessed Itza', bitten by deadly pit vipers, refuse to be taken for anticoagulant treatment, until they venture into the forest to ask spirits 825 for guidance or forgiveness. It matters little if supernatural threats are real or not: if people believe in them, threats of punishment become real deterrents (Durkheim 1995).

Evidence indicates that much of this knowledge is being transmitted to Ladinos. Experimental elicitations show that 830 Itza' knowledge predicts relative success in short- and long-term agroforestry. By attending to Itza' models of behavioral success in agroforestry, and to Itza' stories that embed that behavior in context, prestigious Ladinos have managed to acquire

835 a subset of Itza' knowledge of the ecological relationships  
between humans, animals, and plants. Social network analysis  
suggests how this knowledge and practice has spread through  
the Ladino community. It seems the initial lack of any commu-  
840 nunal Ladino religion or corporate structures, combined with the  
uncertainty created by immigration into a novel environment,  
made Ladinos open to learning from Itza' (Sosis and Bressler  
2003).

In contrast to Ladinos, migrant Q'eqchi, who have strong  
and highly ritualized religious institutions, pay little heed to  
845 Itza'. The Q'eqchi retain allegiances only to the spirits of  
their native highlands and have no knowledge of Itza' beliefs.  
Q'eqchi send delegations back to the highlands to consult  
deities when they have agricultural troubles in the lowlands.  
Q'eqchi's mental models of the forest are correspondingly  
850 poor, as are their associated agroforestry practices, which are  
commercially oriented and unsustainable.

These divergent beliefs mean that the Q'eqchi are now  
spreading more rapidly than the other two groups. In fact,  
Q'eqchi practices are well adapted to present "open-commons"  
855 conditions in Guatemala that encourage massive immigration  
from the overcrowded highlands into the ecologically fragile  
lowlands. There is little incentive to avoid destructive prac-  
tices: if one part of the forest is destroyed, Q'eqchi simply mi-  
grate. In this context, Itza' practices are currently maladaptive.  
860 By making costly commitments to preserve the forest, Itza'  
make it easier for the highly ritualized, corporately disciplined  
Q'eqchi to exploit it. Thus, Itza' may be subsidizing their own  
cultural extinction in the competition among ethnic groups.

Historically, the impact of the cultural group selection  
865 on the interrelationship between religious beliefs and costly  
rituals/devotions is apparent in a study of 83 utopian com-  
munes in the 19th century (Sosis and Bressler 2003). Reli-  
gious groups with more costly rituals were more likely to  
survive over time than religious groups with fewer costly ritu-  
870 als. Differential group survival yielded an increase in the mean  
number of costly rituals per group over time. The above the-  
ory and evidence suggest that such rituals and devotions likely  
generated greater commitment and solidarity within groups  
(Henrich 2009). Indeed, members and leaders explicitly ac-  
875 knowledged that costly demands increased members' religious  
commitment (Sosis and Bressler 2003).

The relation of rituals to prosocial behavior toward in-  
group members is demonstrated in a variety of ways. Among  
880 Israeli kibbutzim (cooperatives), individuals from religious  
kibbutzim cooperated more in behavioral experiments than  
those from nonreligious ones, with increased cooperativeness  
of religious members attributed to greater ritual participation  
(Ruffle and Sosis 2006). Religious kibbutzim also economi-  
885 cally outperform secular ones (Fishman and Goldschmidt  
1990; Ruffle and Sosis 2006). Surveys of Palestinians and Is-  
raeli settlers in the West Bank and Gaza reveal that a person's

frequency of attendance at religious services predicts support  
for martyrdom missions. This relation is independent of time  
spent in prayer. Similar findings emerge for representative  
890 samples of religious Indians, Russians, Mexicans, British, and  
Indonesians: Greater ritual attendance predicts both declared  
willingness to die for one's deities, and belief that other re-  
ligions are responsible for problems in the world (Ginges et  
al. 2009). Finally, a study of 60 small-scale societies reveals  
895 that males from groups in the most competitive socioecologies  
(with frequent warfare) endure the costliest rites (genital mutil-  
ation, scarification, etc.), which "ritually signal commitment  
and promote solidarity among males who must organize for  
warfare" (Sosis et al. 2007).

Cultural group selection shapes religious beliefs and rites  
900 to manipulate our psychology to increase solidarity and com-  
mitment. Such patterns, observed across history and in the  
anthropological record, reemerge in today's terrorist groups  
(Atran 2003). Even avowedly secular national and transna-  
tional movements retain many agentive (anthropomorphic) and  
905 transcendental (sacred) aspects of traditional religions (An-  
derson 1991): nations ritually mourn, rejoice, and demand  
sacrifice, and the "naturalness" of causes that defy prior hu-  
man history (universal justice, equality, and liberty) is any-  
thing but empirically or logically self-evident (Atran 2010). As  
910 we argue that sociopolitical complexity coevolved with both  
commitment-inducing rituals and beliefs in high moralizing  
gods, our efforts also dovetail with recent work indicating that  
cultural group selection, driven by differences in sociopolitical  
complexity, is crucial to understanding the global distribution  
915 and diversity of languages (Currie and Mace 2009).

In sum, religion, as an interwoven complex of rituals, be-  
liefs, and norms, plausibly arises from a combination of (1) the  
mnemonic power of counterintuitive representations, (2) our  
evolved willingness to put faith on culturally acquired beliefs  
920 rooted in the commitment-inducing power of devotions and  
rituals, and (3) the selective effect on particular cultural com-  
plexes created by competition among societies and institutions.  
None of these evolved for religion per se. The mnemonic power  
of minimally counterintuitive representations appears to be  
925 a by-product of our evolved expectations about how the world  
works and our fitness-enhancing requirement to pay attention  
to anomalies. The faith we sometimes place in culture over  
our own experience and intuitions is a cognitive adaptation,  
resulting from our long dependence on vast bodies of complex  
930 cultural knowledge. Reliance on costly displays evolved to  
provide partial immunity against manipulation. The power of  
rhythm and synchrony in ritual to build solidarity (Wiltermuth  
and Heath 2009) likely arises from our imitative and ToM abil-  
ities. Cultural evolution, driven by competition among groups,  
935 exploits each of these cognitive processes to fashion sets of  
counterintuitive beliefs, rituals, and norms that spread by inter-  
group transmission, conquest, or reproductive differentials. As

940 a result, for large-scale societies, these complexes tend to include potent supernatural agents that monitor and incentivize actions that expand the sphere of cooperation, galvanize solidarity in response to external threats, deepen faith, and sustain internal harmony.

945 Significant advances in the study of religious cognition, the transmission of culture, and the evolution of cooperation are all relatively recent. Bringing these new insights, in combination with older ideas, to bear on phenomena as complex as moralizing religions and large-scale societies will be an ongoing challenge. The argument and evidence presented here provides a plausible scenario showing how synthetic progress is possible. More rigorous study is needed on the evolved psychology and cultural processes associated with the role of counterintuitive agents and costly rituals in scaling up the scope of trust and exchange of sacred values and taboos in sustaining large-scale cooperation against external threats, and also of maintaining social and political causes that defy self-interest. Empirical research that combines in-depth ethnography with both cognitive and behavior experiments among diverse societies, including those lacking a world religion, is crucial to understanding how religion influences our cognition, decision-making, and judgments. The formal modeling of cultural evolutionary processes should be combined with historical and archeological efforts to apply these emerging insights to broad patterns of history. These joint efforts should further illuminate the origins and development of religions, and the cooperation and conflicts they engender. There may be no more urgent study needed in the world today.

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## Queries

- AQ1: Author: Please provide details for text citation “Gervais and Henrich forthcoming.”
- AQ2: Author: Please provide details for “Gervais and Henrich forthcoming.”
- AQ3: Author: Please confirm the deletion of “(4)”.
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