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# A Philosopher's Reflections on the Discovery of Mirror Neuron

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## Abstract

Mirror neurons fire both when a primate executes a transitive action directed towards a target (e.g., grasping) and when he observes the same action performed by another. According to the prevalent interpretation, action-mirroring is a process of inter-personal neural similarity whereby an observer maps the agent's perceived movements onto her own motor repertoire. Furthermore, ever as Gallese and Goldman's (1998) influential paper, action-mirroring has been linked to third-person mindreading on the grounds that it enables an observer to represent the agent's intention. In this paper, I criticize the prevalent interpretation on two grounds. (a) Action-mirroring could not result in inter-personal neural similarity unless there was a single mechanism active at different times in a single brain during the execution and the perception of acts of grasping. (b) Such a neural mechanism is better conceived as underlying the possession of the concept of *grasping* than as a basis for mind-reading.


*Keywords:* Action-mirroring; Understanding goal-directed action; Tuning-fork model of social cognition; Third-person mindreading; Simulation; Embodied cognition

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## 1. Introduction

I am a philosopher of mind. In the early 1990s I was primarily addressing metaphysical issues in the philosophy of mind raised by Brentano's definition of intentionality a century earlier. My questions were: can intentionality be naturalized? Could the puzzling features of intentionality be accounted for by using concepts that would be distinctly recognizable by natural scientists? Is the content of an agent's psychological state causally efficacious in the execution of some of her actions? If so, how?<sup>1</sup> Fifteen

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1 years later, my philosophical work is focusing on the significance of experimental  
2 results from cognitive neuroscience for our scientific understanding of the human mind  
3 and human cognitive processes. One question which is currently of special interest to  
4 me is: what is the significance of the cognitive neuroscientific discovery of so-called  
5 “mirror neurons” for human social cognition in general, and for the human capacity to  
6 perform tasks of third-person mindreading in particular?

7 How did I change my focus from metaphysical questions in the philosophy of mind to the  
8 conceptual analysis of experimental results from cognitive neuroscience? From 1995 to  
9 2001, a shift occurred in my professional life when I became a member of a small interdis-  
10 ciplinary group whose task was to prepare the creation of the new CNRS Institute of Cogni-  
11 tive Science located in the Lyon area in France. The Institute was formally born in early  
12 1998, when roughly fifty cognitive scientists moved into a brand new building in the neigh-  
13 borhood of the Psychiatric and the Neurological Hospitals in Bron (near Lyon). Its director  
14 was the French cognitive neuroscientist Marc Jeannerod, a well-known figure in the cogni-  
15 tive neuroscience of vision and action. At the beginning of 2001, I left the Lyon Institute of  
16 Cognitive Science to become the director of the Institut Jean Nicod in Paris, a newly created  
17 interdisciplinary CNRS research unit at the interface between philosophy, the cognitive and  
18 the social sciences.

19 For several years, Marc Jeannerod and I have been involved in collaborative work  
20 including the publication of several papers and a book, most of which devoted to an interdis-  
21 ciplinary assessment of the empirical evidence for, and the conceptual significance of, the  
22 so-called “two-visual systems model” of human vision.<sup>2</sup> This model arose from the discov-  
23 ery that the primate visual system is anatomically divided into two distinct pathways with  
24 distinct functions: the so-called “ventral” pathway (which projects primary visual areas  
25 onto the infero-temporal cortex) and the so-called “dorsal” pathway (which projects pri-  
26 mary visual areas onto the superior parietal cortex).<sup>3</sup>

27 As I see it, our interdisciplinary collaboration in the book had two major tasks. One was  
28 the conceptual clarification of the full implications of the fact that the evidence for the two-  
29 visual systems model is not consistent with the common sense assumption accepted by  
30 much philosophy of mind and perception that human vision is a unitary psychological activ-  
31 ity, whose purpose is to enable humans to build a unified conscious picture of the visible  
32 features of the world. The other task was the conceptual clarification of the various dimen-  
33 sions of the distinction between visual *percepts* and *visuomotor* representations—a distinc-  
34 tion required by a proper formulation of the two-visual systems model itself. On our  
35 version, whereas the ventral pathway underlies what Jeannerod (1994, 1997) earlier called  
36 the *semantic* processing of visual information, the dorsal pathway underlies what Jeannerod  
37 (1994, 1997) earlier called the *pragmatic* processing of visual information.<sup>4</sup> Whereas the  
38 semantic processing of visual information is at the service of an individual’s “belief-box,”  
39 the pragmatic processing of visual information is at the service of an individual’s system of  
40 intentions.<sup>5</sup>

41 In chapter seven of our 2003 book, Jeannerod and I moved from the evidence for a dual  
42 model of the visual processing of objects to the evidence for a potential duality of visual  
43 processing involved when humans see other humans act. In particular, we examined the

1 evidence for a putative dissociation between a so-called “mirror-neuron system,” involving  
 2 areas in the human brain (such as the premotor cortex and the inferior frontal gyrus)  
 3 believed to contain mirror neurons, and a so-called “social perception system” involving  
 4 the Superior Temporal Sulcus (STS), the amygdala and the orbito-frontal cortex. In a nut-  
 5 shell, we argued that the distinction between the social perception system and the mirror-  
 6 neuron system reflects the distinction between human actions that are, and human actions  
 7 that are not, directed towards a conspecific.<sup>6</sup>

8 In the present paper, I wish to examine a view which, borrowing a term from Saxe  
 9 (2005), I will label the *tuning-fork* model of human social cognition, which gives a central  
 10 role to so-called “processes of mirroring” and to the activity of mirror neurons. On this  
 11 model, human social cognition is a tuning-fork: it vibrates (or resonates) by directly picking  
 12 up the vibrations emitted by a conspecific. (I could also have called this model the *reso-*  
 13 *nance* model of human social cognition.) In accordance with one or another version of the  
 14 so-called “embodied cognition” paradigm and the earlier rejection by the Phenomenologi-  
 15 cal tradition of both cognitivism and representationalism, it stands in sharp contrast with  
 16 “disembodied” computational approaches to the mind according to which cognitive pro-  
 17 cesses perform operations on mental representations that could be performed by a Turing  
 18 machine. Recent advocates of embodied cognition seem disposed to ground their rejection  
 19 of the computational and representational paradigm for cognitive science in the Phenome-  
 20 nologists’ critique of intellectualism, which itself predates the cognitive revolution of the  
 21 1950s.<sup>7</sup>

22 In accordance with so-called “simulation” approaches to tasks of third-person mindread-  
 23 ing, the tuning-fork model of social cognition emphasizes the role of psychological and neu-  
 24 ral *inter-personal similarity* in achieving tasks of third-person mindreading. As I see it, this  
 25 model involves the five following assumptions:

- 26 1. Mirroring is a process of inter-personal mimicry (resonance or duplication) that creates  
 27 a neural and psychological similarity across two distinct individuals.
- 28 2. Instances of mirroring are or constitute instances of third-person mindreading.
- 29 3. Mirroring processes are of two kinds (a) motoric mirroring (or action-mirroring) and  
 30 (b) nonmotoric mirroring (or affective mirroring).
- 31 4. Mindreading an agent’s prior intention can be achieved by processes of action-mirror-  
 32 ing (or motoric mirroring).
- 33 5. Mindreading an agent’s affective state (pain or emotion) can be achieved by processes  
 34 of nonmotoric mirroring.

35  
 36 In the following, I accept what I take to be the classical view (held by philosophers as  
 37 well as psychologists) that tasks of human mindreading are tasks whereby a human individ-  
 38 ual attributes (or ascribes) a psychological state to another or to him- or herself. A task of  
 39 third-person mindreading consists in forming a belief (or judgment) about (or in metarepre-  
 40 senting) another’s psychological state. A task of first-person mindreading consists in form-  
 41 ing a belief about (or in metarepresenting) a psychological state of one’s own. Accordingly,  
 42 I assume that a necessary condition for achieving a task of either third- or first-person  
 43

mindreading is that the mindreader possesses and applies to the task the *concept* of the relevant psychological state (e.g., intention, belief, desire and so on).

In this paper, I will consider only tasks of third-person mindreading; I will concentrate on action-mirroring at the expense of other putative forms of mirroring, and only assumptions (1), (2) and (4) of the tuning-fork model of social cognition will be relevant. In section 2, I will argue that the resonance model of action-mirroring embodied by assumption (1) is not fully compatible with the empirical evidence. In section 3, I will argue that the classical view of mindreading is not consistent with assumptions (1), (2) and (4). In section 4, I will examine various options open to advocates of the tuning-fork model of social cognition and suggest an alternative interpretation of action-mirroring and the significance of the discovery of mirror neurons (MNs). As it turns out, I did not merely change my focus from metaphysical questions in the philosophy of mind to the conceptual analysis of experimental results from cognitive neuroscience. I also moved up the ladder from the metaphysical puzzles raised by first-order intentionality to the exploration of the cognitive mechanisms underlying human mindreading, that is, higher-order intentionality.

## 2. What is action-mirroring?

Mirror neurons were first recorded in area F5 of the ventral premotor cortex of macaque monkeys by a team of Italian cognitive neuroscientists from the university of Parma led by Giacomo Rizzolatti in the early 1990s.<sup>8</sup> MNs are sensorimotor neurons that fire both when an agent performs a transitive action directed towards a physical target (e.g., grasping a peanut) and also when the animal observes another agent perform the same kind of transitive action. MNs are so-called on the grounds that their activity in an observer's brain seems to *mirror* (reflect, match or duplicate) MN activity in the agent's brain. As they were first discovered in the ventral premotor cortex of nonhuman primates by single-cell recording, MNs were also recorded in the inferior parietal lobule of monkeys.

The discovery of MNs first in ventral premotor cortex and later in the inferior parietal lobule of monkeys came as a surprise in light of earlier results of single-cell recording in a relevantly neighboring area of the monkey brain, namely the Superior Temporal Sulcus (STS) by a group of cognitive neuroscientists from the university of Edinburgh led by David Perrett.<sup>9</sup> In the monkey brain, the STS is reciprocally connected to the inferior parietal lobule, which is in turn reciprocally connected to the ventral premotor cortex (cf. Keysers & Perrett, 2004). Cells in the monkey STS respond to the perception of a much broader class of performed actions than MNs, including actions with a clear social significance (such as eye- and head-movements, some of which are signs of an agent's attention, and others of social submission and/or dominance). But, unlike MNs (recorded in either the ventral premotor cortex or the inferior parietal lobule), cells in the STS have only perceptual properties, no motor properties: they fire only when the recorded animal observes another's action, not when it executes an action. Unlike MNs, they are not sensorimotor neurons.

Subsequent application of Transcranial Magnetic Stimulation and brain imaging experiments in humans have been widely reported in support of the existence of a so-called

1 “mirror-neuron system” in the human brain that responds to the execution and the percep-  
2 tion of a broader range of actions, including both transitive actions (as in the monkey brain),  
3 but also intransitive actions (not directed towards a physical target).<sup>10</sup> In addition, more  
4 recently, brain-imaging experiments involving different brain areas in humans (e.g., the  
5 insula and the amygdala) have been reported suggesting the additional existence of so-called  
6 “mirroring processes,” not just for the execution and the perception of overt motor acts, but  
7 also for the first-personal experience of *affective* states (e.g., pain and emotions such as  
8 disgust) and the third-personal recognition of others’ affective states. But the evidence  
9 for nonmotoric mirroring is not relevant to the present paper, in which I restrict myself to  
10 motoric mirroring (or action-mirroring).

11 The prevailing view of action-mirroring has emphasized the congruency between the  
12 motor and the perceptual properties of MNs (and the mirror-neuron system activity). In  
13 other words, it emphasizes the fact that MN activity is at work in both action execution and  
14 action observation. Assuming that the ability to execute a transitive action (of e.g., grasping)  
15 directed towards a physical target (e.g., food) is necessary to the survival of primates, it  
16 seems as if no mechanism for understanding an observed transitive action performed by  
17 another could be more basic (or more primitive) than the very mechanism that would enable  
18 the observer (were the observer the agent) to execute the action in question. Thus, this  
19 emphasis on the congruency between the motor and the perceptual properties of MNs in the  
20 monkey ventral premotor cortex raises the intriguing prospect that a fairly elementary mech-  
21 anism in the motor cognition of primates might constitute an instance of mental simulation,  
22 that is, a process of motor simulation, whereby the observer mentally rehearses (without  
23 executing) the agent’s observed movements.

24 As Rizzolatti, Fogassi, and Gallese (2001) have stressed, depending on an observer’s  
25 motor resources (or repertoire), there are perceived actions that can be mapped onto the  
26 observer’s motor repertoire and there are perceived actions that cannot be so mapped.  
27 Brain-imaging studies conducted by Buccino et al. (2004) have revealed greater activations  
28 of motor (and premotor) areas when human observers see without hearing a video-clip dis-  
29 playing a human being produce silent speech (or even a monkey produce silent lip-smack-  
30 ing) than when they see a video-clip displaying a dog bark. In another brain-imaging study  
31 by Calvo-Merino, Glaser, Grezes, Passingham, and Haggard (2005), capoeira and ballet  
32 dancers saw short films displaying dance steps by either capoeira dancers or classical danc-  
33 ers. They found enhanced activations in the mirror-neuron system of dancers observing  
34 movements belonging to their own dancing repertoire. So perceptual responses to an agent’s  
35 observed movements seem to reflect the observer’s motor expertise and motor familiarity  
36 with the executed movements.

37 On this basis, Rizzolatti et al. (2001: 666) and Rizzolatti and Craighero (2004: 179) have  
38 drawn a contrast between two ways an action might be understood (or recognized): either  
39 through a purely visual (or perceptual) analysis of the agent’s bodily movements or by map-  
40 ping the agent’s perceived movements onto the observer’s motor repertoire. If and when an  
41 observer cannot map a perceived action onto his own motor repertoire, then, according to  
42 Rizzolatti et al. (2001), the observer’s understanding of the perceived action cannot be  
43 grounded in “motor resonance.” As a result, there is something about the perceived action

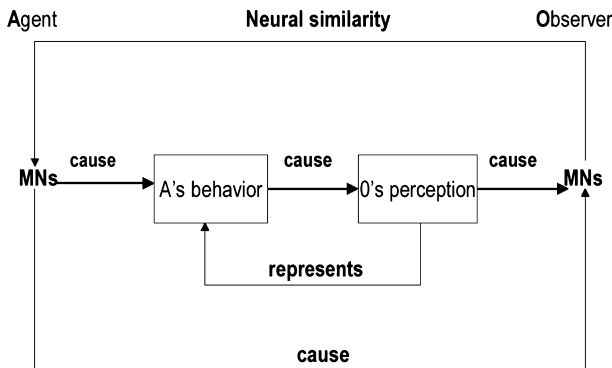
1 that the observer fails to understand: the action can only be categorized on the basis of its  
 2 visual properties.<sup>11</sup> For example, as a primate cannot map a bird’s wing movements onto its  
 3 own motor repertoire, it can only categorize a bird’s flight through a visual analysis.

4 Now, there is a weaker and a stronger version of the dual pathway thesis for action under-  
 5 standing. The weaker version is just the claim that perceived actions can be understood in  
 6 two different ways. The stronger version, which deserves to be called the “direct-matching  
 7 model of action-understanding,” is the further claim, endorsed by Rizzolatti et al. (2001:  
 8 662), that “the results of the visual analysis of an observed action [...] are devoid of mean-  
 9 ing [...]” and that motor involvement provides a distinctive kind of action understanding,  
 10 which a purely visual analysis is unable to secure: “the main weakness of the visual hypoth-  
 11 esis is that it does not indicate how the “validation” of the meaning of the observed action  
 12 is achieved” (*Ibid*: 666).<sup>12</sup>

13 Clearly, the direct-matching model of action-understanding is a version of a simulation-  
 14 based model of action-understanding. Action-mirroring is described as a process of motor  
 15 simulation (or motor resonance) whereby the observer’s perception of the agent’s act causes  
 16 him or her to internally rehearse the agent’s observed movements without executing them.  
 17 By enabling the observer to map the agent’s observed movements onto his or her own motor  
 18 repertoire, motor mirroring is supposed to constitute a basic neural similarity between the  
 19 agent and the observer whereby both entertain a *shared* motor representation of the action  
 20 executed by the agent (insert Fig. 1 around here.) This neural similarity (or shared motor  
 21 representation) is supposed to supply the observer with a special understanding of the mean-  
 22 ing of the executed action (i.e., a representation of the goal of the action), which a purely  
 23 visual analysis is allegedly unable to provide.

24 Interestingly, the direct-matching model of action-understanding is frequently advertised  
 25 by its cognitive neuroscientific advocates under the authority of the following quote from  
 26 the French phenomenologist Merleau-Ponty (1945: 225):<sup>13</sup>

28 The sense of the gestures is not given, but understood, that is, recaptured by an act on the  
 29 spectator’s part. The whole difficulty is to conceive this act clearly without confusing it



43 Fig. 1. Action-mirroring.

1 with a cognitive operation. The communication or comprehension of gestures comes  
2 about through the reciprocity of my intentions and the gestures of others, of my gestures  
3 and intentions discernible in the conduct of other people. It is as if the other person's  
4 intention inhabited my body and mine his.

5  
6 An ingenious experiment reported by Umiltà et al. (2001) is supposed to further corroborate  
7 the direct-matching model of action-understanding. In this experiment, Umiltà et al.  
8 (2001) recorded a single MN in area F5 of the monkey premotor cortex that fired when an  
9 observer saw an agent reach and grasp a target even when the target and the end of the  
10 agent's arm movement were hidden behind an occluder, but the monkey knew that there  
11 was a target behind the occluder because he had seen it prior to observing the agent's partly  
12 occluded act. On the other hand, the same MN failed to discharge when the observer saw  
13 the agent perform the same arm and hand movement, but there was no target and the observer  
14 knew it. Rizzolatti et al. (2001), Rizzolatti and Craighero (2004) and Rizzolatti and  
15 Sinigaglia (2008) argue that this finding is evidence for the direct-matching model of action  
16 understanding: in accordance with the quote from Merleau-Ponty, they take it that only by  
17 enabling the observer to map the agent's observed action onto the observer's motor repertoire  
18 can MN activity in the observer's brain generate understanding of the "meaning" (i.e.,  
19 goal) of the observed action. As a mere pantomime of a reach-to-grasp action not directed  
20 towards a physical target lacks meaning in a nonhuman primate's mind, MNs don't fire  
21 when the animal perceives such a meaningless act.

22 However, two related questions jointly arise. The first question is: what is the evidence in  
23 favor of the stronger version of the dual pathway thesis of action-understanding, against the  
24 weaker version of this thesis? On the stronger version, only by mapping the agent's  
25 observed movements onto his or her own motor repertoire can the observer properly understand  
26 what Rizzolatti et al. (2001), Rizzolatti and Craighero (2004) and Rizzolatti and  
27 Sinigaglia (2008) call *the meaning* of the agent's act.<sup>14</sup> On the weaker version, there are at  
28 least two ways an observer can understand an agent's act: by motor resonance or by a purely  
29 visual (or perceptual) analysis. Ever since Jeannerod introduced me to the evidence in favor  
30 of the two-visual systems model of human vision, I have been fascinated by the empirical  
31 discovery of the existence of dual pathways in the brains of human or nonhuman animals  
32 that seem to underlie two separate and dissociable responses to one and the same stimulus.  
33 With respect to understanding what *action-understanding* consists in, I confess to being a  
34 contextualist and, as I am about to argue by considering the second related question, I think  
35 that the evidence does not obviously favor the stronger version of the dual pathway over the  
36 weaker version.

37 Furthermore, in developmental psychology, there is a lively empirical debate between  
38 two views about how human infants come to understand others' goal-directed actions during  
39 ontogeny. On the one hand, there is evidence that human infants develop understanding of  
40 others' goal-directed actions through their own performance of similar actions. For example,  
41 Sommerville, Woodward, and Needham (2005) report evidence that 3-month olds, whose  
42 accuracy in the execution of actions of grasping and reaching is enhanced by means of a  
43 Velcro glove, are better able to understand similar actions performed by others than 3-month



olds who are not allowed to use a Velcro glove. On the other hand, there is evidence that infants interpret a perceived action as goal-directed when they recognize it as an efficient means to achieve an end (cf. Csibra, Biró, Koós, & Gergely, 2003; Gergely & Csibra, 2003; Gergely, Nadasdy, Csibra, & Biro, 1995; Southgate, Johnson, & Csibra, 2008). On the face of it, the very existence of this empirical debate over the ontogeny of the human ability to understand goal-directed actions lends support to the weaker version of the dual pathway thesis of action-understanding over the stronger version.<sup>15</sup>

The second related question is: Does MN activity in the observer's brain *generate* understanding of the meaning (or goal) of the observed action? Or instead does it *reflect* a prior understanding of the meaning (or goal) of the observed action? As Csibra (2007) has argued, there are two conspiring empirical problems for the direct-matching model (or the stronger version of the dual pathway thesis) of action-understanding. First of all, the experimental result reported by Umiltà et al. (2001) is consistent with the possibility that MN activity in the ventral premotor cortex of an observer of another's transitive action *results* from a prior representation (if any) of the agent's goal that derives from the observer's knowledge of the presence of a hidden target. On this alternative interpretation, the lack of MN activity when the observer watches a pantomime of an act of reaching-to-grasp and knows that a physical target is missing (whether the act is partially occluded or not) simply shows that in the known absence of a physical target, the observer fails to assign any representable goal to the agent of the observed act. Until this alternative interpretation of the experimental result reported by Umiltà et al. (2001) is ruled out, the direct-matching model (or the stronger version of the dual pathway thesis) of action-understanding lacks a crucial piece of evidence.

The second empirical problem is that there is neurophysiological, behavioral and brain imaging evidence showing MN activity during observation of *nonexecutable* actions. Ferrari, Rozzi, and Fogassi (2005) report a series of experiments in which they recorded MNs in area F5 of the ventral premotor cortex of monkeys after they had been exposed for a period of two months to the visual observation of human transitive actions made with tools such as picking up pieces of food with sticks and grasping them with pliers. They found MNs that fire both when the monkey executes some transitive hand and mouth actions and when the monkey observes actions performed by a human with a tool, which the monkey could *not* use. For example, they recorded one neuron that fires when the monkey grasps a small piece of food and when the monkey sees a human pick up a small piece of food with a stick, but not when the human mimes the action with the stick in the absence of food. They recorded another neuron that fires when the monkey grasps a piece of food with his hand and holds it. The same neuron also fires when the monkey sees a human agent grasp and hold the piece of food with a pair of pliers and when he sees the human pick up the piece of food and hold it with a stick. The response of this neuron is weaker when the monkey sees the experimenter grasp the piece of food and hold it with his hand. These are clear instances of MNs that are active when the monkey sees actions that he cannot execute himself. So strictly speaking, these are MNs that fire even though the monkey is unable to map the perceived action onto his motor repertoire. This shows that the fact that an act belongs to one's motor or behavioral repertoire is not a necessary condition for action-mirroring.

1 Furthermore, Wood, Glynn, Philips, and Hauser (2007) and Wood, Glynn, and Hauser  
 2 (2007) report behavioral evidence in nonhuman primates showing some (behavioral) under-  
 3 standing of human actions, for example, throwing, that nonhuman primates cannot perform  
 4 2(cf. Wood & Hauser, 2008). Schubotz and Van Cramon (2004) report brain-imaging evi-  
 5 dence in humans showing activations in the human ventral premotor cortex for observation  
 6 of static arrays of geometrical objects when subjects were requested to extract regularity of  
 7 patterns. Costantini et al. (2005) report equally strong activation of the human ventral pre-  
 8 motor cortex for the observation of bio-mechanically possible *and* impossible movements.  
 9 Finally, Romani, Cesari, Urgesi, Facchini, and Aglioti (2005) report motor facilitation  
 10 effects during the observation of bio-mechanically *impossible* finger movements.

11 Taken together, this evidence is hard to square, not only with the strong thesis that MN  
 12 activity generates a representation of the goal (and meaning) of an observed action, but also  
 13 with the view that MN activity consists in mapping an agent's observed movements onto  
 14 the observer's own motor repertoire. I now turn to the direct examination of assumptions (2)  
 15 and (4) of the tuning-fork model according to which third-person mindreading can be consti-  
 16 tuted by action-mirroring by virtue of the fact that the former alone could enable an observer  
 17 to represent an agent's intention.

18  
 19

### 20 3. Why can't mindreading an agent's intention be constituted by action-mirroring

21

22 In 1998, Vittorio Gallese (one of the co-discoverers of MNs) and Alvin Goldman (one of  
 23 the early philosophical advocates of the simulation-based approach to tasks of third-person  
 24 mindreading) published an influential paper, which was the first to explicitly consider a pos-  
 25 sible link between action-mirroring and the simulation-based approach to third-person  
 26 mindreading. In that paper, Gallese and Goldman (1998: 495) "explored [the] possibility  
 27 [...] that MNs underlie the process of "mindreading," or serve as precursors to such a pro-  
 28 cess [...] and that] MNs are part of [...] the folk psychologizing mechanism." They further  
 29 conjectured that "MNs represent a primitive version, or possibly a precursor in phylogeny,  
 30 of a simulation heuristic that might underlie mindreading" (*Ibid*: 498). More specifically,  
 31 Gallese and Goldman (1998: 497) hypothesized that the "external" (or exogenous) activa-  
 32 tion of MNs might enable an observer to *retrodict* the agent's mental (or psychological)  
 33 state (i.e., his *intention*) "by moving backwards from the observed action." On this view,  
 34 exogenously caused MN activity would presumably take as input the perception of the  
 35 agent's movements and retrodictively compute a representation of the agent's intention, that  
 36 is, the psychological cause of the agent's movements.<sup>16</sup> Now, as Goldman (2008, in press)  
 37 has recently pointed out, there are at least two different ways that action-mirroring could  
 38 contribute to third-person mindreading: instances of the former could either *constitute* or  
 39 *cause* instances of the latter.

40 According to assumption (2) of the *tuning-fork* model of human social cognition,  
 41 instances of action-mirroring are (or constitute) instances of third-person mindreading.  
 42 A particular instantiation of assumption (2) is embodied by assumption (4) according to  
 43 which mindreading an agent's prior intention can be achieved by action-mirroring. A couple

1 of remarkable experiments using single-cell recording in the monkey and brain-imaging in  
2 humans have been respectively designed by Fogassi et al. (2005) and by Iacoboni et al.  
3 (2005) to support assumption (4).

4 In an experiment in which a single motor act of grasping was embedded into one of  
5 two more complex actions, Fogassi et al. (2005) recorded MNs in the monkey inferior  
6 parietal lobule in both executive and perceptual tasks. After training, the monkey had  
7 learned to grasp either food or some nonedible target for the purpose of either eating the  
8 food or placing either the food or the nonedible target into a container that could be  
9 located near either the target or the monkey's mouth.<sup>17</sup> In the perceptual task, Fogassi  
10 et al. (2005) found that different MNs in the monkey inferior parietal lobule fire in  
11 response to the perception of a single act of grasping according to whether it is embedded  
12 within two distinct more complex actions, one of which was eating and the other was  
13 placing the food into a container.

14 In order to accommodate their results, they introduced a novel model of *chains of logi-*  
15 *cally related MNs* with the basic property that the MNs in an observer's brain that fire in  
16 response to the perception of an act of grasping would *not* govern the execution of the same  
17 act of grasping (were the observer the agent), but instead of a different act of either bringing  
18 to the mouth or locating into a container. This model of chains of logically related MNs,  
19 which suggests that the function of MN activity in an observer's brain is *prediction* of the  
20 agent's next motor act, constitutes a sharp departure from the earlier *resonance* (or direct-  
21 matching) model of action-mirroring. On the direct-matching model, MN activity in an  
22 observer's brain *mimicks* MN activity in the agent's brain. But on the novel model of chains  
23 of logically related MNs, MN activity in the observer's brain *anticipates* the agent's next  
24 most likely act.

25 Fogassi et al. (2005) went on to claim that MN activity in an observer's brain "not only  
26 codes the motor act but also allows the observer to understand the agent's intentions" (*Ibid*:  
27 662). Arguably, it is controversial whether monkeys do represent and attribute intentions to  
28 conspecifics.<sup>18</sup> But Iacoboni et al. (2005) did conduct a brain-imaging study in humans with  
29 the same design as the single-cell recording study conducted by Fogassi et al. (2005) in  
30 monkeys. They showed healthy human adults a single motor act embedded into one or the  
31 other of two more complex actions by means of pairs of films divided into three conditions.  
32 In the Context condition, subjects saw objects (a tea-pot, a mug, cookies, etc.) arranged as if  
33 either before tea (the "drinking" Context) or after tea (the "cleaning" Context), but they  
34 saw no action. In the Action condition, subjects saw a human hand grasp a mug using either  
35 a precision grip or a whole-hand prehension, with no contextual elements present. In the  
36 Action condition, subjects saw either one or the other of the two acts of prehension embed-  
37 ded in either the drinking Context (Intention to drink condition) or the cleaning Context  
38 (Intention to clean condition). In other words, in perceiving one or the other of the single  
39 acts constitutive of the Action condition embedded into two different Contexts in the Inten-  
40 tion condition, the observer could represent it as part of two distinct complex actions. The  
41 perception of the Context condition cannot trigger MN activity as in this condition, there are  
42 affordances (i.e., opportunities for action), but there is no action to be seen.<sup>19</sup> Viewing the  
43 Intention condition led to a significantly stronger activation in the right inferior frontal areas

(believed to be rich in MNs) than viewing the Action condition (which in turn was stronger than viewing the Context condition without any action).

Iacoboni et al. (2005: 529) take their experiment to demonstrate that “premotor mirror neuron areas—areas active during the execution and the observation of an action—previously thought to be involved only in action recognition are actually also involved in understanding the intentions of others,” which (on the view that the agent’s relevant intention is the agent’s *prior* intention to either drink or clean, not just the *motor* intention to grasp a mug), is equivalent to assumption (4) of the tuning-fork model. If so, then, in accordance with assumption (2), the human mirror neuron system could be said to perform tasks of third-person mindreading.

The experimental results reported by Iacoboni et al. (2005) raise at least two problems, the first of which is parallel to the problem raised by the result reported by Umiltà et al. (2001) and Fogassi et al. (2005), namely Csibra’s (2007) problem of whether these experimental results show that MN activity in the observer’s brain *generates* a representation of the agent’s goal or prior intention. The alternative interpretation is that MN activity in the observer’s brain *results from* an independent representation of the agent’s goal or prior intention, which is based on the perceptual processing of contextual cues. If we apply the predictive model of chains of logically related MNs to the experimental design of Iacoboni et al.’s (2005) brain-imaging study, the chain leading to the prediction that the agent will either drink from the mug or clean the mug must be selected by processing contextual cues.

Secondly, given the tension between the resonance or direct-matching model of action-mirroring and the new model of chains of logically related MNs, the question whether action-mirroring could *constitute* third-person mindreading should be examined separately for each model. In fact, both the Fogassi et al. (2005) study and the Iacoboni et al. (2005) study leave it open whether they advocate the replacement of the simulation-based direct-matching model of action-mirroring by the new predictive model of chains of logically related MNs or the coexistence of the two models.<sup>20</sup>

Let us first consider the direct-matching model of action-mirroring. On this model, as a result of action-mirroring exogenously triggered by the perception of a motor act of grasping a mug, an observer could at best *form* the intention to grasp a mug without executing the act. Clearly, to form the intention to grasp a mug (without executing the act) is not the same psychological state as *believing* of an agent (distinct from self) that she intends to grasp a mug. Given the classical definition of mindreading (from the Introduction), only the latter, not the former, constitutes an instance of third-person mindreading. Furthermore, whereas one can *form* the intention to grasp a mug and lack the concept *intention* (something that, on the resonance model, action-mirroring enables an observer to do when he or she perceives another perform an action), one cannot *believe* that another intends to grasp a mug (and thus ascribe to him the intention) unless one possesses the concept *intention*. If so, then on the direct-matching or resonance model, action-mirroring falls short of third-person mindreading.

Now consider the new model of chains of logically related MNs. Fogassi et al. (2005: 666) write that chains of logically related MNs “allow the monkey to predict what is the goal of the observed action and, thus, to “read” the intention of the acting individual.” Similarly, according to Iacoboni et al. (2005: 535), “to ascribe an intention is to infer a

1 forthcoming new goal, and this is an operation that the motor system does automatically.”  
 2 However, as Goldman (in press, pp. 4–5 of manuscript) insightfully notices, the notion of a  
 3 *goal* is equivocal: it may refer to a physical *target* (e.g., a mug), to an *act* to be performed  
 4 on a physical target (e.g., the agent’s bringing the mug to his mouth) or to an agent’s  
 5 *intention* (e.g., the agent’s motor intention to grasp a mug or his prior intention to drink).  
 6 Now, unlike both the target and the agent’s act, only either the agent’s motor intention to  
 7 grasp a mug or his prior intention to drink counts as a genuine mental representation (of a  
 8 possible, nonactual state of affairs): either one of these is a psychological cause of the  
 9 agent’s perceived act of grasping the mug.<sup>21</sup>

10 On the model of chains of logically related MNs, the selection of a chain must depend on  
 11 contextual information. So the perception of an act of grasping a mug triggers the *prediction*  
 12 of the next most likely motor act, given contextual cues (e.g., the agent’s bringing the mug  
 13 to his mouth). On this model, action-mirroring takes as input a perceived act of grasping  
 14 and the output it delivers is a motor representation of another *act* (the agent’s bringing the  
 15 mug to his mouth). On this model, action-mirroring could not deliver a representation of the  
 16 agent’s prior intention to drink, because action-mirroring is supposed to be a *predictive*  
 17 device. Given that the agent’s prior intention to drink is the *cause* of the agent’s perceived  
 18 act, action-mirroring could not *predict* the cause of the agent’s perceived act from the per-  
 19 ception of the act (i.e., the effect of the intention). It could only retrodict the agent’s prior  
 20 intention to drink from the perception of the act; what it can *predict* is the agent’s next most  
 21 likely *act*.<sup>22</sup> Thus, on the model of chains of logically related MNs, contrary to assumption  
 22 (4) of the tuning fork model of human social cognition, mindreading an agent’s prior inten-  
 23 tion could not be achieved by action-mirroring alone. Nor could instances of action-mirror-  
 24 ing *constitute* instances of third-person mindreading, in accordance with assumption (2) of  
 25 the tuning-fork model. At least, it could not, if one accepts the classical conception of third-  
 26 person mindreading.

#### 29 4. Three theoretical options

31 So far, advocates of the existence of a close link between instances of action-mirroring  
 32 and instances of third-person mindreading have pursued two theoretical options. The former  
 33 option is to give up the classical view of third-person mindreading. The latter option is to  
 34 weaken the view that there is a *constitutive* link between action-mirroring and third-person  
 35 mindreading (as required by assumptions [2] and [4] of the tuning-fork model) into a merely  
 36 *causal* dependency of third-person mindreading upon action-mirroring. I briefly examine  
 37 them in turn.

##### 39 4.1. First option: rejecting the classical picture of mindreading

41 So far as I can see, the first option, which consists in rejecting the classical view of third-  
 42 person mindreading, is explicitly advocated in a provocative paper by three of the leading  
 43 cognitive neuroscientists, who discovered MNs, in a 2004 paper entitled “A unifying view

1 of the basis of social cognition,” which I take to be a manifesto of the tuning-fork model of  
2 human social cognition, and from which I extract a rather long characteristic quote:<sup>23</sup>

3  
4 [...] the fundamental mechanism at the basis of the experiential understanding of others’  
5 actions is the activation of the mirror neuron system. A similar mechanism, but involving  
6 visceromotor centers, underlies the experiential understanding of the emotions of others  
7 [...] We will posit that, in our brain, there are neural mechanisms (mirror mechanisms)  
8 that allow us to directly understand the meaning of the actions and emotions of others by  
9 internally replicating (“simulating”) them without any explicit reflective mediation.  
10 Conceptual reasoning is not necessary for this understanding [...] the fundamental mech-  
11 anism that allows us a direct experiential grasp of the mind of others is not conceptual  
12 reasoning but direct simulation of the observed events through the mirror mechanism.  
13 The novelty of our approach consists in providing for the first time a neurophysiological  
14 account of the experiential dimension of both action and emotion understanding [...] There  
15 is something shared between our first- and third-person experience of these phe-  
16 nomena [i.e., actions and emotions]: the observer and the observed are both individuals  
17 endowed with a similar brain-body system. A crucial element of social cognition is the  
18 brain’s capacity to directly link the first- and third-person experiences of these phenom-  
19 ena (i.e., link “I do and I feel” with “he does and he feels”).<sup>24</sup>

20  
21 Leaving aside the claims about the existence of processes of nonmotoric or affective mir-  
22 roring putatively involved in the recognition of others’ affective states (e.g., emotions), this  
23 passage epitomizes two features of the tentative picture of mindreading, by which advocates  
24 of the tuning-fork model propose to replace the classical picture. On the one hand, their  
25 emphasis upon a direct, immediate and nonconceptual grasp of the minds of others is clearly  
26 reminiscent of the Phenomenological rejection of a cognitivist and representationalist  
27 framework exemplified by the earlier quote from Merleau-Ponty.<sup>25</sup> On the other hand, they  
28 endorse a view that in many of his writings, Gallese (2001, 2003) calls “embodied simu-  
29 lation,” and which stresses the role and format of shared motor representations of actions in  
30 understanding others.

31 However, like other versions of *embodied cognition*, Gallese’s notion of *embodied simu-*  
32 *lation* leaves one question unanswered: is a cognitive process embodied in virtue of the fact  
33 that it is supported not just by the agent’s brain, but also by the agent’s full body? Or instead  
34 is it embodied in virtue of the fact that it could not occur unless the agent were able to form  
35 mental representations of her own bodily parts?<sup>26</sup> Nor is it entirely clear how one could turn  
36 a shared motor representation of another’s *action* into a shared motor representation of  
37 another’s *mind* or *psychological state*. It is one thing to assume that as a result of perceiving  
38 an act of grasping a mug executed by another agent, an observer is caused to form a motor  
39 intention to grasp (not followed by execution). But it is another thing entirely to claim that  
40 the observer’s exogenously caused motor intention is itself a representation of the agent’s  
41 mind. Arguably, in such a case, the agent and the observer of the executed act might be said  
42 to share a motor representation of the act. It just seems like a category mistake to confuse  
43 the fact that an observer forms an intention whose content is similar to the content of the

agent's intention and the fact that the observer forms the belief or judgment about the agent that he or she has an intention with a given content. On my view, these potential confusions show that the challenge of offering a coherent alternative to the classical view of mindreading is not easy to meet.

#### 4.2. *Second option: weakening the link between action-mirroring and mindreading*

Goldman (2006, 2008, in press), one of the most eloquent advocates of the simulation approach to human mindreading over the past twenty years, agrees that action-mirroring cannot constitute third-person mindreading. He endorses instead the weaker thesis that the latter *causally* depends on the former. As Borg (2007) and Jacob (2008) have noted, unless one subscribed to behaviorism, one would not be tempted to endorse the view that the perception and mental rehearsal of an agent's motor act is a sufficient basis for forming a reliable belief about the agent's prior intention. Although the weaker thesis asserting a causal dependency (not a constitutive link) between third-person mindreading and action-mirroring is immune to this criticism, the weaker thesis faces at least two problems: the problem of the teleological stance and the problem of the gap in the causal link.

##### 4.2.1. *The teleological stance problem*

Violation-of-expectation studies by Gergely et al. (1995), Csibra et al. (2003) and Gergely and Csibra (2003) have demonstrated that human infants as young as 12-month old both automatically interpret others' actions as goal-directed and expect agents to perform the most efficient act given the physical constraints.<sup>27</sup> For example, 12-month olds, who have been habituated to seeing a 2D circle jump over a 2D rectangular obstacle on a computer screen in a parabolic trajectory, are more surprised (i.e., look longer) if they see the circle jump again once the obstacle has been removed rather than if they see the circle move in a straight line (i.e., exemplify a novel trajectory that they have never seen before). According to Gergely and Csibra (2003), these experimental results show that 12-month olds ascribe goals and apply what they call the "teleological stance" to others' actions.

Goldman (2006, 2008, in press), who subscribes to a simulation-based approach to third-person mindreading, primarily thinks of action-mirroring as an instance of an exogenous process of motor simulation that automatically creates a neural similarity between an agent and an observer. Goldman (2006) further claims that action-mirroring causally contributes to what he calls "lower-level" tasks of third-person mindreading. Tasks of lower-level mindreading are "comparatively simple, primitive, automatic and largely below the level of consciousness" (*Ibid*: 113).<sup>28</sup> Now given Gergely and Csibra's (2003) findings, the adoption of the teleological stance by 12-month olds seems to be early evidence of lower-level mindreading activity of goal-ascription.<sup>29</sup>

The problem for Goldman's causal claim is that 12-month olds cannot jump, let alone fly, over obstacles. So the Gergely and Csibra findings strongly suggest that action-mirroring cannot be a *necessary* condition for a 12-month-old human infant to ascribe a goal to an agent. It is true that 12-month-old human infants can move their hands and feet over objects.<sup>30</sup> Perhaps 12-month-old human infants can map the nonbiological parabolic motion

1 of a geometrical stimulus over an obstacle onto the motor repertoire of their own hand and  
2 feet movements. Perhaps they can also map the nonbiological rectilinear motion of the same  
3 geometrical stimulus in the absence of any obstacle onto their own ability to produce hand  
4 and feet movements with a similar trajectory. Perhaps on the basis of this twofold mapping,  
5 they could somehow represent and ascribe goals to moving geometrical stimuli.<sup>31</sup>

6 However, further experimental data reported by Southgate et al. (2008) are more diffi-  
7 cult to reconcile with the view that action-mirroring plays a causal role in low-level  
8 mindreading tasks of goal ascription. Using the habituation/dishabituation paradigm,  
9 Southgate et al. (2008) divided 6- to 8-month-old infants into an experimental and a con-  
10 trol group. Infants in the experimental group were habituated to seeing a two-step goal-  
11 directed action whereby a human hand (a) removes an obstructing box and (b) retrieves  
12 an object. Infants in the control group were habituated to seeing the same sequence  
13 except that the removed box was not obstructing and therefore its removal was unneces-  
14 sary for retrieving the object. Then infants from both groups saw two test events. In one,  
15 they saw a human hand (a) remove an obstructing box and (b) retrieve an object, in a  
16 way consistent with biomechanical constraints on arm movements. In the other, they saw  
17 an arm snake in between a pair of boxes and around the second box in violation of bio-  
18 mechanical constraints on arm movements. When Southgate et al. (2008) compared the  
19 looking times of infants in both the experimental and the control groups, they found that  
20 infants in the experimental group looked significantly longer at the biomechanically pos-  
21 sible yet relatively less efficient goal-directed hand action than at the more efficient yet  
22 biomechanically impossible action. This result shows that only infants in the experimen-  
23 tal condition (who had been habituated to seeing an efficient action), not infants in the  
24 control condition (who had not been habituated to seeing an efficient action), were more  
25 surprised to see a less efficient than a more efficient one in the test event. Interestingly,  
26 this result can also be taken (and is so interpreted by Southgate et al., 2008) to show that  
27 6- to 8-month-old human infants might lack knowledge about the biological constraints  
28 on human actions performed by others and also that the perception of a biologically  
29 impossible action may trigger in such infants a perceptual *illusion* of efficiency. (Their  
30 perception is illusory because the action is biologically impossible.) It is unlikely that  
31 action-mirroring could explain why 6- to 8-month-old human infants are less surprised to  
32 see a more efficient yet biologically impossible hand action than a biologically possible  
33 yet less efficient one.

34 In any case, this experimental result is hard to square with the view that either familiarity  
35 with a perceived action or action-mirroring is a causally necessary condition for represent-  
36 ing or ascribing a goal to an agent. If so, then not all instances of lower-level mindreading  
37 can be causally dependent upon action-mirroring. In light of these new developmental find-  
38 ings, the advocate of the causal link between instances of action-mirroring and instances of  
39 lower-level third-person mindreading should give up the view that, as a matter of nomic  
40 psychological fact, all instances of low-level mindreading are always caused by episodes of  
41 mirroring. Instead, he should be prepared to specify the class of cases in which action-mir-  
42 roring does, and the class of cases in which action-mirroring does not, play any causal role  
43 in subsequent episodes of mindreading.



4.2.2. The gap in the causal link

As Goldman (in press, p. 2 of manuscript) indicates, the causal link interpretation between action-mirroring and lower-level third-person mindreading is a special case of the general claim that mental simulation causally contributes to tasks of third-person mindreading. The thesis of the causal dependency of third-person mindreading upon either action-mirroring or mental simulation in general leaves a crucial gap unfilled within the putative causal chain. On the simulation-based direct-matching model, an instance of action-mirroring exogenously triggered by the perception of a motor act of grasping a mug could at best lead an observer to *form* the intention to grasp the mug without executing the act. The question is: How is the observer's tokening of an *intention* to grasp the mug is turned into the observer's *belief* that the agent intends to grasp the mug?

Consider now the standard simulation-based account of the ascription (or prediction) of another's decision (insert Fig. 2 around here). Call the other T for target. The interpreter creates in his own mind a pretend goal (or desire) g and a pretend instrumental belief to the effect that producing action m is an efficient means of achieving goal g, where both the pretend goal g and the pretend belief that producing action m is an efficient means of achieving goal g are supposed to be relevantly similar to T's actual goal and instrumental belief. Then the interpreter feeds the pretend goal and the pretend belief into his own decision-making mechanism, which in turn outputs a pretend decision, for example, the pretend decision to do m. Unsurprisingly, the standard simulation-based account of decision-ascription now faces the very same question that is faced by action-mirroring: how is the pretend *decision* to do m transformed into the interpreter's *belief* (or prediction) that target T will decide to do m?

What is distinctive of simulation-based approaches to mindreading is the appeal to interpersonal neural and/or psychological *similarity*. Further appeal to interpersonal similarity in and by itself will *not* solve this question. The response to both versions of the same question is that the output of either action-mirroring or simulation in general is being *embedded* into a higher-order (or metarepresentational) *belief*. Arguably, action-mirroring or simulation might enable a mindreader to *mimick* (or *duplicate*) another's psychological state. However, to perform a full task of third-person mindreading is to form a genuine (higher-order) *belief*

COLOUR

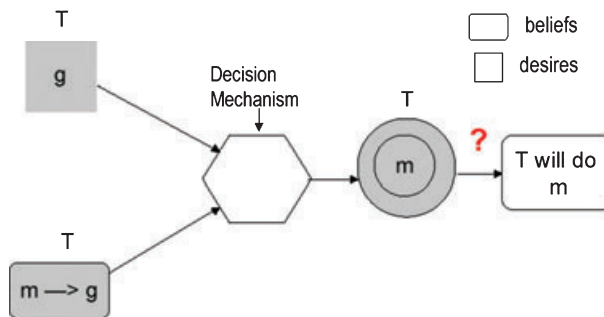


Fig. 2. The simulation-based account of the prediction of another's decision Captions.

1 about another's psychological state. Only by breaking out of the (hermeneutical) circle of  
2 interpersonal similarity and by shifting up to *metarepresentational ascent* can the questions  
3 be answered.<sup>32</sup> So far in this section of the essay, I have argued that action-mirroring is not  
4 sufficient for enabling an observer to represent an agent's intention and I have questioned  
5 the view that it is causally necessary. It is time to explore an alternative interpretation of  
6 action-mirroring.

#### 8 4.3. *Third option: MN activity and the possession of action-concepts*

9  
10 As MNs were first recorded in the ventral premotor cortex of monkeys, the prevalent  
11 interpretation of the experimental findings has been cast in terms of a process of *interper-*  
12 *sonal simulation*, whose output is a neural and/or psychological *interpersonal resonance* or  
13 *similarity*. Clearly, however, MN activity could not achieve interpersonal resonance or  
14 similarity across the brains of *two* distinct individuals at a *single time* unless MNs fired in  
15 a *single* brain on *different occasions*.<sup>33</sup> Now, as Sperber (2004) has suggested, a brain  
16 mechanism that is active within a single brain in both the execution of a transitive act (e.g.,  
17 grasping) and in the perception of the same act performed by a conspecific (at different  
18 times) looks very much like a neural mechanism underlying the *concept* of the act in  
19 question (i.e., *grasping*).<sup>34</sup>

20 Arguably, the conceptual representation of the act of grasping is an abstract representa-  
21 tion that brackets the difference between the purely motor representation and the purely  
22 visual representation of the act. The view that MN activity is the neural basis of the con-  
23 cept of such a specific act as grasping would make sense of the variations in the statisti-  
24 cal congruency between the motor and the perceptual properties of MNs noted by Csibra  
25 (2005, 2007). Whereas a significant proportion of MNs are generally reported as being  
26 "strictly congruent," a majority is said to be "broadly congruent" (approximately 70%  
27 as reported by Rizzolatti & Sinigaglia, 2008, p. 84). It would also make sense of the fact  
28 that MNs have been recorded in the monkey for the perception of motor acts that the  
29 observer cannot perform (e.g., grasp food using a stick), as arguably seeing an agent per-  
30 form an act of grasping food using a stick is seeing an act that falls under the concept of  
31 *grasping food*, which itself falls under the concept of *grasping*. In fact, on the basis of  
32 Rizzolatti et al.'s (2000) and Rizzolatti and Sinigaglia's (2008: 46) notion of a *motor*  
33 *vocabulary* (or *vocabulary of motor acts*), what I am presently calling a *conceptual*  
34 *representation* of the act of grasping is just what Rizzolatti et al. (2001) and Rizzolatti  
35 and Craighero (2004) call the *motor representation* commonly entertained by an agent  
36 and an observer.

37 If a conceptual representation of the act of grasping brackets the distinction between  
38 executive instances and perceptual representations of the act, then possession of the concept  
39 of *grasping* does not require 100% statistical congruency between the MNs that fire during  
40 respectively the execution and the perception of an act of grasping. Furthermore, the view  
41 that MN activity is the neural basis of some motor acts further helps resolve the tension  
42 between the direct-matching model and the model of chains of logically related MNs. If  
43 action-mirroring is the neural basis of the concept of *grasping*, then it does not underlie

1 tasks of third-person mindreading. But it makes sense that the application of the concept of  
2 *grasping* triggered by the perception of an act of grasping a mug would inferentially give  
3 rise to the related concept of, for example, *drinking* (as a function of contextual cues).

4 Conversely, evidence from brain-imaging experiments in humans reported by Brass,  
5 Schmitt, Spengler, and Gergely (2007) confirms the view that MN activity in humans is not  
6 primarily involved in tasks of third-person mindreading. Brass et al. (2007) report enhanced  
7 activity in human brain areas known to underlie the perception of social stimuli and  
8 third-person mindreading, in particular the Superior Temporal Sulcus (so far not believed to  
9 contain MNs), for the observation of an agent's opening a door with his knee when the  
10 action is *unexpected* (because his hands are free), as opposed to when it is expected (because  
11 he is using his hands to hold books). What this shows is that when the agent's observed  
12 action raises no particular puzzle in the observer's mind, action-mirroring may supply a  
13 motor representation of the agent's act. But when the agent's observed action is unexpected  
14 (or puzzling), then the observer draws automatically upon her mindreading resources to  
15 revise her hypothesis about the agent's intention (and/or other psychological states), which  
16 she might have formed on the perceptual basis of contextual cues. If this is correct, then  
17 action-mirroring might not generate at all a representation of the agent's intention. Instead,  
18 it may underlie the observer's conceptual representation of the agent's act and enable the  
19 observer to predict the agent's most likely next act, in conjunction with the perception of  
20 contextual cues, which in turn may also give rise to a representation of the agent's intention.

21 Nor does it follow from the conceptualist approach that there is no interesting dif-  
22 ference between an agent and an observer. For instance, it is entirely consistent with  
23 the conceptualist approach that, unlike an observer, an agent has available information  
24 about his being the author of the act by means of a so-called "efference copy" of  
25 his motor instruction.<sup>35</sup> Insofar as the efference copy of an agent's motor instruction  
26 serves as the basis of the sense of agency, the conceptualist approach can accommo-  
27 date the phenomenological difference between being an agent and being an observer  
28 of an act performed by another.

29 Simulation-based approaches to third-person mindreading have long emphasized the cru-  
30 cial role of psychological *similarity* between a target (or agent) and an interpreter. The dis-  
31 covery of MNs has been interpreted as a vindication of the simulation approach to  
32 mindreading by showing the existence of a neural mechanism for motor simulation (or  
33 motor resonance) in the primate brain, which would create an interpersonal neural similarity  
34 between an agent and an observer and would enable the latter to make sense of an agent's  
35 intention from the perception of the agent's movements. On the one hand, I have argued that  
36 this neural and/or psychological similarity between an agent and an observer at a time could  
37 never be exemplified via action-mirroring unless the brains of single primates were endowed  
38 with a mechanism with executive and perceptual properties that are instantiated in different  
39 tasks at different times. And as I have further argued, such a brain mechanism can plausibly  
40 be thought of as a neural mechanism underlying the relevant actions concepts. On the other  
41 hand, as showed by some of their writings, Rizzolatti and his collaborators (who discovered  
42 MNs in the first place) made room for such an interpretation of MN activity with the concept  
43 of *motor vocabulary*. However, given the prevalence of the tuning-fork model of social

1 cognition, this interpretation has been overridden, if not discarded, by the exclusive impor-  
2 tance ascribed to interpersonal similarity in social cognitive tasks.

## 5. Conclusions

3  
4  
5  
6  
7 In this paper, I have questioned the view widely accepted among members of the cogni-  
8 tive neuroscientific community that action-mirroring alone (i.e., MN activity in an obser-  
9 ver's brain) is either sufficient, or necessary, or both, for the purpose of representing an  
10 agent's intention—and thereby for the purpose of performing tasks of third-person mind-  
11 reading. Instead, I have suggested that the most parsimonious account of the discovery of  
12 MNs in the brain of nonhuman primates is that MN activity underlies the possession of  
13 action concepts. In the process, I have focused on the limitations of the contribution to tasks  
14 of third-person mindreading of a mechanism of mental simulation, whose main function is  
15 to create a neural and psychological *interpersonal similarity* between an observer and an  
16 agent.

17 The first time the link between the simulation-based approach to third-person mind-  
18 reading and action-mirroring (i.e., MN activity in an observer's brain) was explicitly  
19 put forward was in a 1998 paper whose joint authors were Vittorio Gallese and Alvin  
20 Goldman, that is, one of the co-discoverers of MNs and a leading analytic epistemologist  
21 and philosopher of mind, who happened to be one of the philosophical advocates of the  
22 simulation-based approach to mindreading in the late 1980s. It is, in my view, important  
23 to emphasize the fact that in the following ten years, Gallese and Goldman have devel-  
24 oped their earlier conjecture in significantly different ways. So much so that, unlike  
25 Gallese, Goldman does not subscribe to what I earlier called the tuning-fork model of  
26 human social cognition.

27 In particular, as I noticed in section 4, Goldman (2004, 2006, 2008) accepts what I called  
28 the classical view of mindreading and he thereby rejects the view that an instance of mental  
29 simulation could constitute an instance of third-person mindreading. Instead, he accepts a  
30 weaker causal link between mental simulation and third-person mindreading. In other  
31 words, Goldman agrees that mental or neural similarity between an observer and an agent is  
32 not sufficient to allow the former to mindread the latter. Furthermore, Goldman (2006) adds  
33 a twofold distinction to the tool-kit of the simulation-based approach to third-person mind-  
34 reading. On the one hand, he argues that both processes of mirroring and imaginative pro-  
35 cesses are instances of mental simulation. On his view, processes of mirroring play a causal  
36 role in so-called “lower-level” tasks of third-person mindreading and the imagination plays  
37 a causal role in so-called “higher-level” tasks of third-person mindreading. On the other  
38 hand, he argues for a distinction between two kinds of processes of mirroring: action-mirroring  
39 (or motoric mirroring) and affective mirroring (or nonmotoric mirroring).

40 As I understand it, in the past ten years since Gallese and Goldman published their 1998  
41 paper, Gallese (2001, 2003, 2004) has argued that the discovery of action-mirroring vindi-  
42 cates a so-called “embodied” version of simulation, that is, a “a basic functional mecha-  
43 nism of our brain [which is] an automatic, unconscious and pre-reflexive” process. The

1 embodied version of simulation endorsed by Gallese stresses the role of interpersonal simi-  
2 larity of brain and bodily structure (an embodied “‘manifold of intersubjectivity’”) for inter-  
3 subjective understanding. On the one hand, Gallese’s notion of embodied simulation seems  
4 to erase Goldman’s distinction between mirroring and imagination. On the other hand, it  
5 encapsulates the idea that neural and/or psychological *similarity* is both necessary and suffi-  
6 cient for third-person mindreading. It thereby contributes to two assumptions of the tuning-  
7 fork model of human social cognition, that is, that action-mirroring is *constitutive* of third-  
8 person mindreading, in virtue of the fact that action-mirroring alone enables an observer to  
9 represent an agent’s intention.<sup>36</sup> Arguably, Gallese’s (2001, 2003, 2004) own propensity to  
10 endorse jointly the embodied version of simulation and the core assumptions of the tuning-  
11 fork model of human social cognition might betray his uncritical acceptance of the Phenom-  
12 enologists’ rejection of computational and representational approaches to mental processes  
13 (prior to the cognitive revolution), which itself reflected philosophical prejudices.

14 In conclusion, I would like to emphasize five correlative points about the putative link  
15 between action-mirroring and human mindreading.

- 16 1. As I already noticed, it is far from clear that there is currently any coherent alternative  
17 to the classical view of mindreading.
- 18 2. The current evidence about action-mirroring in either nonhuman primates or humans  
19 does not support the stronger view (over the weaker view) of the direct-matching  
20 model of action understanding. The developmental investigation of the ontogeny of  
21 human infants’ understanding of goal-directed actions is wide open. There are cur-  
22 rently two main views: one emphasizes the role of familiarity and motor experience in  
23 understanding others’ goal-directed actions. The other emphasizes the role of cues of  
24 efficiency in the understanding of others’ goal-directed actions.
- 25 3. The experimental evidence about MN activity in both nonhuman primates and humans  
26 does not support the strong view that action-mirroring constitutes mindreading (in the  
27 sense that MN activity alone could enable an observer to figure out the agent’s prior  
28 intention).
- 29 4. The best evidence for the view that action-mirroring causally promotes tasks of third-  
30 person mindreading by helping an observer figure out the agent’s prior intention faces  
31 the following problem. Far from corroborating the resonance (or direct-matching)  
32 model of MN activity, the evidence for the putative causal role of action-mirroring in  
33 the representation of the agent’s prior intention is also evidence for a novel model of  
34 chains of logically related MNs. But according to this model, what MN activity does is  
35 to enable the observer to predict the agent’s most likely next act, given the context.  
36 Predicting the agent’s next act is not to perform a task of third-person mindreading  
37 (classically construed). If the perceptual processing of contextual cues is required to  
38 select the appropriate chain of logically related MNs, then it is perfectly possible that  
39 it also suffices to enable the observer to form a representation of the agent’s prior  
40 intention.
- 41 5. Finally, the prevalent interpretation according to which action-mirroring causally  
42 contributes to mindreading is based on the view that action-mirroring generates an  
43

interpersonal neural and psychological similarity between an agent's and an observer's brain at the time at which the agent performs his action (of e.g., grasping). As I have argued, this synchronic interpersonal neural and psychological similarity would simply fail to arise unless action-mirroring in each individual brain were a single mechanism active in two different tasks (an executive and a perceptual task of e.g., grasping) at different times. But as I have also argued, a single mechanism in a single brain that is active in both an executive and a perceptual task of grasping suspiciously looks like a brain mechanism that underlies the concept of the act of grasping. If so, then we might do well to endorse the more parsimonious hypothesis that action-mirroring underlies the conceptual representation of the relevant motor acts and leave out any additional assumption about the role of action-mirroring in tasks of third-person mindreading.

## Notes

1. Much of this work is reflected in Jacob (1997). I do take it that the joint works of Dretske, Fodor, Millikan and others have made intentionality respectable to a metaphysical naturalist by showing deep underlying connections between it and such concepts broadly used in the natural sciences as *nomic correlation*, *information* and *function*. What is not obvious is whether this interesting metaphysical research program has generated much scientific understanding of the human mind. For a radically negative response to this question, cf. Chomsky (2000), who accepts methodological naturalism, but rejects metaphysical naturalism.
2. Cf. Jacob and Jeannerod (2003).
3. Cf. Ungerleider and Mishkin (1982). This discovery has generated much discussion about the exact functions of the two pathways.
4. One thing we argued in the Epilog of Jacob and Jeannerod (2003) and in Jeannerod and Jacob (2005) is the importance of recognizing that there are levels of complexity in the pragmatic as well as the semantic processing of visual information.
5. Cf. also Jacob (2005). I'm still working, and just completed a new paper, on this topic. Cf. Jacob and de Vignemont (2009).
6. Cf. Allison, Puce, and McCarthy (2000).
7. The Phenomenological rejection of intellectualism seems tantamount to rejecting cognitive operations or computations. For recent versions of the embodied cognition paradigm, cf. Varela, Thompson, and Rosch (1991), Clark (1997) and Gallhager (2005).
8. Cf. Di Pellegrino, Fadiga, Fogassi, Gallese, and Rizzolatti (1992).
9. Cf. Jacob and Jeannerod (2003, chapter 7) and Jacob (2008) for a detailed discussion.
10. For an extended discussion of the empirical evidence, cf. Jacob (2008).
11. Application of "motor resonance" to MN activity is metaphorical talk. It is best explained, I think, by the idea that MN activity is motor simulation (without execution) of an observed act enabling the observer to map the agent's observed movements onto his own motor repertoire. If so, then the alleged resonance is likely to be a subpersonal, functional (not a personal) relation holding between the agent's and the observer's

1        respective brain areas. This seems to fit Gallese's (2001: 45) construal of what he calls  
2        "the shared manifold of intersubjectivity."

- 3        12. Cf. also Rizzolatti and Sinigaglia (2008: 94–98).
- 4        13. In some respects, what this quote reveals is that the philosophical Phenomenological  
5        rejection of the role of cognitive operations in capturing what it is like to understand  
6        another's act has a cost: it promotes a view of action-understanding that seems best to  
7        fit the well-known positive symptoms of schizophrenia—a point made by Jeannerod  
8        (2004) in response to Gallese (2004).
- 9        14. Notice that the stronger version seems to make the unwarranted assumption that actions  
10        have a unique meaning, if any.
- 11        15. For further discussion, cf. section 4.2.
- 12        16. On my view, it is unlikely that by mentally rehearsing an agent's perceived movement,  
13        an observer could mentally represent its psychological cause. Cf. Jacob (2008).
- 14        17. In one of the motor tasks, the monkey brought the food to the mouth and ate it. In the  
15        other two motor tasks, the monkey was rewarded after correct accomplishment of locat-  
16        ing both the food and the nonedible target into a container (located near either the target  
17        or his mouth).
- 18        18. According to Fogassi et al. (2005: 662), in the executive task, monkeys were trained to  
19        place food into a container by being "rewarded after correct accomplishment of the  
20        task." So the selective discharge of MNs in the perceptual task may reflect *associative*  
21        *learning* instead of spontaneous mindreading.
- 22        19. Affordances can trigger the discharge of so-called "canonical" neurons, not of MNs.  
23        Cf. Rizzolatti, Fogassi, and Gallese (2000).
- 24        20. Note that on Gallese and Goldman's (1998) view, the agent's intention is *retrodictively*  
25        computed by action-mirroring from the perception of his movements. Goldman (in  
26        press, pp. 6–7 of manuscript) recognizes the tension between the two models, but he  
27        espouses the latter view and concludes from the studies that there are two kinds of mir-  
28        ror neurons.
- 29        21. Following Searle's (1983), Pacherie's (2000) and others' terminology, it is natural to  
30        characterize the agent's intention to drink as his *prior* intention because it is chronologi-  
31        cally and causally prior to both the agent's acts of grasping the mug and bringing it to  
32        the mouth. Cf. Jacob (2008).
- 33        22. If one knows an agent's beliefs and desires, then arguably one can *predict* an agent's  
34        *prior* intention. For example, knowing that an agent both wants to read and believes that  
35        there is not enough light, one could presumably predict that the agent will form the prior  
36        intention to turn the light on. But clearly such a prediction is beyond the mechanism of  
37        action-mirroring.
- 38        23. Gallese, Keysers, and Rizzolatti (2004: 396).
- 39        24. Cf. Gallese et al. (2004: 396).
- 40        25. For recent analyses of the contribution of Merleau-Ponty's rejection of cognitivism  
41        and representationalism to the Phenomenological tradition, cf. Carman and Hansen  
42        (2005).

- 1 26. As phantom limb pains show, human patients may have mental representations of their  
2 own nonexistent bodily parts (at least, nonexistent when the mental representations are  
3 being formed). For further discussion, cf. Goldman and de Vignemont (in press).
- 4 27. “Goal-directed” does not mean directed towards a physical target, but guided by a  
5 mentally represented aim.
- 6 28. The contrast between *lower-level* and *higher-level* tasks of third-person mindreading  
7 might be drawn in terms of the amount of *inhibition* required: higher-level mindreading  
8 requires more inhibition than lower-level mindreading. For example, passing a standard  
9 false belief task requires inhibiting one’s own correct representation of the location of  
10 the object in order to represent another’s incorrect belief.
- 11 29. In light of Onishi and Baillargeon’s (2005) and Surian, Caldi, and Sperber’s (2007) evi-  
12 dence for the representation of others’ false beliefs by respectively 15-month olds and  
13 13-month olds, this does not seem like an unreasonable proposal.
- 14 30. Something jointly pointed out to me by an anonymous referee for this journal and by Iris  
15 Trinkler.
- 16 31. But, as I am about to argue, there is a gap between forming a goal similar to the agent’s  
17 and ascribing the goal to the agent.
- 18 32. Similarly, imagination may enable one to engage into a game of make-believe required  
19 to appreciate a work of fiction. But there is a gap between imaginatively engaging in  
20 such a game and forming a metarepresentational belief, and stating a fact, about or  
21 describing, a work of fiction.
- 22 33. Arguably when an agent sees his own hand movement, MNs might fire in a single brain  
23 in a dual task (of action-execution and action-perception). If so, then it would not be  
24 strictly correct to say that MNs fire in a single brain in different tasks at different times.  
25 But it is difficult to test the hypothesis that the firing of MNs in an agent’s brain also  
26 underlies the agent’s visual perception of his own act.
- 27 34. On this view, the discovery of MNs in the ventral premotor cortex of monkeys might be  
28 said to vindicate the application of Fodor’s (1975) hypothesis of a *language of thought*  
29 composed of concepts (or cross-modal mental symbols) to the cognition of nonhuman  
30 primates (who lack a language faculty).
- 31 35. The concept of *effference copy* was introduced by Helmholtz, who was exercised by the  
32 puzzle of how humans (and other animals) are able to discriminate the visual signals  
33 produced on their retina respectively by the motion of some external moving object and  
34 by the movements of their own eyes. The effference copy was Helmholtz’s theoretical  
35 response to the puzzle: when the brain sends to the muscles of the eye a motor instruc-  
36 tion to perform a saccade, it also generates an effference copy of the motor instruction  
37 that informs the brain that the sensory changes result have an endogenous, not an exoge-  
38 nous, source. Cf. Wolpert (1997).
- 39 36. In this paper, I have argued that the psychological interpersonal similarity empha-  
40 sized by simulation-based approach is not sufficient for third-person mindreading.  
41 In addition, as Goldman and de Vignemont (in press) notice, it is unclear what role  
42 the embodied format of simulation could play in representing, for example, others’  
43 mathematical beliefs.



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| Q16 | <b>AUTHOR: Gallagher (2005) has been changed to Gallhager (2005) so that this citation matches the Reference List. Please confirm that this is correct.</b>  |  |
| Q17 | <b>AUTHOR: Carman &amp; Hansen (eds.)(2005) has been changed to Carman and Hansen (2005) so that this citation matches the Reference List. Please confirm that this is correct.</b>                      |  |

# Proof Correction Marks

Please correct and return your proofs using the proof correction marks below. For a more detailed look at using these marks please reference the most recent edition of The Chicago Manual of Style and visit them on the Web at: <http://www.chicagomanualofstyle.org/home.html>

| <i>Instruction to typesetter</i>                               | <i>Textual mark</i>   | <i>Marginal mark</i>                   |
|--|---|--|
| Leave unchanged  | ... under matter to remain  | <u>stet</u>                            |
| Insert in text the matter indicated in the margin              | ^   | ^ followed by new matter               |
| Delete   | ƒ through single character, rule or underline<br>or<br>ƒ through all characters to be deleted | ƒ                                      |
| Substitute character or substitute part of one or more word(s) | ƒ through letter or<br> ——  through characters  | new character ƒ or<br>new characters ƒ |
| Change to italics  | — under matter to be changed  | <u>ital</u>                            |
| Change to capitals   | ≡≡≡ under matter to be changed  | <u>Caps</u>                            |
| Change to small capitals                                       | ≡≡ under matter to be changed   | <u>sc</u>                              |
| Change to bold type  | ~ under matter to be changed  | <u>bf</u>                              |
| Change to bold italic  | ~ under matter to be changed  | <u>bf+ital</u>                         |
| Change to lower case   | ƒ   | <u>lc</u>                              |
| Insert superscript   | ∨   | ∨ under character<br>e.g. ∨            |
| Insert subscript   | ^   | ^ over character<br>e.g. ^             |
| Insert full stop   | ⊙   | ⊙                                      |
| Insert comma   | ↕   | ↕                                      |
| Insert single quotation marks                                  | ↙ ↘   | ↙ ↘                                    |
| Insert double quotation marks                                  | ↖ ↗   | ↖ ↗                                    |
| Insert hyphen  | =   | =                                      |
| Start new paragraph  | ¶   | ¶                                      |
| Transpose  | ┌┐  | ┌┐                                     |
| Close up   | linking ○ characters  | ○                                      |
| Insert or substitute space between characters or words         | #   | #                                      |
| Reduce space between characters or words                       | ⌒   | ⌒                                      |